

Distributor Portal.

Holdings, accounts, and bank statements

Version Ci UK 1.0.0



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Contents

| | |
|---------------------------------------------------------------------------|----|
| Searching for details of the holdings within the accounts you manage..... | 5 |
| Searching holdings: account number..... | 6 |
| Searching holdings: ISIN..... | 8 |
| Searching holdings: share class name | 10 |
| Viewing accounts..... | 12 |
| Searching for an account using the account number | 13 |
| Searching for an account using account status | 15 |
| Adding an account | 17 |
| Viewing bank account details..... | 19 |
| Searching for bank account details using the account name..... | 21 |
| Searching for bank account details using the bank account number | 23 |
| Viewing trades | 25 |
| Viewing trades using a filter..... | 26 |
| Viewing trades by date range | 28 |
| Searching for a trade by account number..... | 30 |
| Searching for a trade by ISIN..... | 32 |
| Searching for a trade by order reference number | 34 |
| Viewing the deal date for a trade | 36 |
| Viewing the number of units in a trade | 38 |
| Viewing the share class in a trade | 40 |
| Viewing the account number associated with a trade..... | 42 |
| Viewing the product type associated with a trade | 44 |
| Viewing the deal type associated with a trade by account number | 46 |
| Filtering trades by the deal type | 48 |
| Manually uploading a trade | 50 |
| Viewing cash positions | 52 |
| Viewing a cash position by date..... | 53 |
| Viewing a cash position by payment reference number | 55 |
| Viewing a cash position by account number..... | 57 |
| Viewing a cash position by transaction type..... | 59 |
| Viewing a payment date associated with a cash position | 61 |
| Viewing a settlement date associated with a cash position | 63 |
| Viewing an account number associated with a cash position..... | 65 |

| | |
|----------------------------------------------------|----|
| Viewing a payment amount with a cash position..... | 67 |
| Document history | 69 |

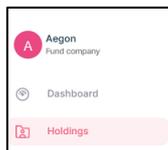
Searching for details of the holdings within the accounts you manage

You can view the details of the holdings within the accounts that you manage.

View details:

1. Select *Holdings* from the left-hand side navigation panel.

Information



Result

powered by Zija

Holdings

Client account ISIN Share class name Clear All Search

| ACCOUNT | PRODUCT TYPE | FUND NAME | SHARE CLASS NAME | ISIN | UNITS | PRICE | VALUE | SETTLED HOLDING | UNSETTLED HOLDING | GROUP 1 UNITS | GROUP 2 UNITS |
|-------------------|--------------|---------------|-------------------------------------|--------------|------------|-------|-------------|-----------------|-------------------|---------------|---------------|
| 440101-0000000674 | GIA | STANDARD LIFE | STANDARD LIFE EQUITY INCOME TST PLC | GB0006039897 | 79,435.029 | 1.77 | £140,800.00 | 20,000.000 | 59,435.029 | 0.000 | 79,435.029 |
| 440101-0000000674 | GIA | STANDARD LIFE | GO-4HEAD GROUP PLC | GB0003753778 | 20,189.5 | 1.77 | £35,700.01 | 0.0 | 20,189.5 | 0.0 | 20,189.5 |
| 440101-0000000674 | GIA | STANDARD LIFE | ECOFIN OLB | GB0080346461 | 10,169.50 | 1.77 | £18,000.01 | 0.00 | 10,169.50 | 10,169.50 | 0.00 |
| 440101-0000000674 | GIA | suspension | EVRAZ PLC Sus | GB00871N8K86 | 10,169.5 | 1.77 | £18,000.01 | 0.0 | 10,169.5 | 0.0 | 10,169.5 |
| 440101-0000000674 | GIA | STANDARD LIFE | ABERDEEN NEW DAWN Div 1 | GB0088M56V29 | 71,200.0 | 1.20 | £85,440.00 | 70,200.0 | 1,000.0 | 70,200.0 | 1,000.0 |
| 440101-0000000674 | GIA | STANDARD LIFE | EP GLOBAL OPPORTUNITIES TRUST PLC | GB0033882573 | 72,760.0 | 1.00 | £72,760.00 | 71,010.0 | 1,750.0 | 70,410.0 | 2,350.0 |
| 440101-0000000674 | GIA | STANDARD LIFE | MJ GLEESON PLC div 2 | GB0089W09253 | 46,000.0 | 1.00 | £46,000.00 | 46,000.0 | 0.0 | 45,000.0 | 1,000.0 |
| 440101-0000000674 | GIA | STANDARD LIFE | SHAFESBURY PLC | GB0007990962 | 27,336.594 | 1.00 | £27,336.59 | 27,336.594 | 0.000 | 9,913.184 | 17,423.410 |
| 440101-0000000674 | GIA | STANDARD LIFE | VOULTON GROUP PLC | GB0089W01058 | 5,734.616 | 1.30 | £7,455.00 | 5,734.616 | 0.000 | 1,240.000 | 4,494.616 |
| 440101-0000000674 | GIA | Merger Check | ASSURA PLC | GB008VQBWW93 | 16,519.93 | 1.30 | £21,475.91 | 15,018.93 | 1,501.00 | 0.00 | 16,519.93 |

1 2 3 > 10 / page

| Column name | Purpose |
|-------------------|---------------------------------------------------------------------|
| ACCOUNT | The Investor account number. |
| PRODUCT TYPE | Selected from the available products for instance: ISA or GIA. |
| FUND NAME | The name of the fund. |
| SHARE CLASS NAME | The share class name. |
| ISIN | The International Securities Identification Number. |
| UNITS | The number of share units held. |
| PRICE | The price of each unit in pounds. |
| VALUE | The total value of the holding (number units times price per unit). |
| SETTLED HOLDING | The total number of settled holding in units. |
| UNSETTLED HOLDING | The total number of unsettled holdings in units. |
| GROUP 1 UNITS | The total number of Group 1 (bought before XD) units. |
| GROUP 2 UNITS | The total number of Group 2 (bought after XD) units. |

You can search holdings by account number, ISIN, and share class name.

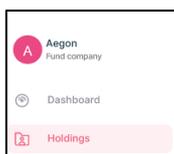
Searching holdings: account number

You can view the details of the holdings within the accounts that you manage. You can search by account number.

Search holdings based on account number:

1. Select *Holdings* from the left-hand side navigation panel.

Information



Result

Holdings

Client account: ISIN: Share class name: Clear All Search

| ACCOUNT | PRODUCT TYPE | FUND NAME | SHARE CLASS NAME | ISIN | UNITS | PRICE | VALUE | SETTLED HOLDING | UNSETTLED HOLDING | GROUP 1 UNITS | GROUP 2 UNITS |
|------------------|--------------|---------------|-------------------------------------|--------------|------------|-------|-------------|-----------------|-------------------|---------------|---------------|
| 440101-000000685 | GIA | STANDARD LIFE | STANDARD LIFE EQUITY INCOME TST PLC | GB0006039957 | 79,435.029 | 1.77 | £140,800.00 | 20,000.000 | 59,435.029 | 0.000 | 79,435.029 |
| 440101-000000685 | GIA | STANDARD LIFE | GO-HEAD GROUP PLC | GB0003793778 | 20,169.5 | 1.77 | £35,700.01 | 0.0 | 20,169.5 | 0.0 | 20,169.5 |
| 440101-000000685 | GIA | STANDARD LIFE | ECORN OIL | GB0082046441 | 10,169.50 | 1.77 | £18,000.01 | 0.00 | 10,169.50 | 10,169.50 | 0.00 |
| 440101-000000685 | GIA | suspension | EVRAZ PLC Sus | GB00871N6K86 | 10,169.5 | 1.77 | £18,000.01 | 0.0 | 10,169.5 | 0.0 | 10,169.5 |
| 440101-000000685 | GIA | STANDARD LIFE | ABERDEEN NEW DAWN Div 1 | GB0088456129 | 71,200.0 | 1.30 | £95,440.00 | 70,200.0 | 1,000.0 | 70,200.0 | 1,000.0 |
| 440101-000000685 | GIA | STANDARD LIFE | EP GLOBAL OPPORTUNITIES TRUST PLC | GB0003862273 | 72,760.0 | 1.00 | £72,760.00 | 71,610.0 | 1,750.0 | 70,410.0 | 2,350.0 |
| 440101-000000685 | GIA | STANDARD LIFE | MJ GLEESON PLC div 2 | GB0089030253 | 46,000.0 | 1.00 | £46,000.00 | 46,000.0 | 0.0 | 46,000.0 | 1,000.0 |
| 440101-000000685 | GIA | STANDARD LIFE | SHAFTESBURY PLC | GB0007990962 | 27,336.564 | 1.00 | £27,336.56 | 27,336.564 | 0.000 | 9,913.164 | 17,423.410 |
| 440101-000000685 | GIA | STANDARD LIFE | VOLLTON GROUP PLC | GB0089011256 | 5,734.616 | 1.30 | £7,453.00 | 5,734.616 | 0.000 | 1,240.000 | 4,494.616 |
| 440101-000000685 | GIA | Merger Check | ASSURA PLC | GB008V089993 | 16,519.93 | 1.30 | £21,475.91 | 16,618.93 | 1,001.00 | 0.00 | 16,519.93 |

1 2 3 > 10 / page

2. Enter an *Account* number.

Information

Client account: ISIN: Share class name: Clear All Search

The *Search* button becomes available.

3. Select *Search*.

Result

Holdings

Client account: ISIN: Share class name: Clear All Search

| ACCOUNT | PRODUCT TYPE | FUND NAME | SHARE CLASS NAME | ISIN | UNITS | PRICE | VALUE | SETTLED HOLDING | UNSETTLED HOLDING | GROUP 1 UNITS | GROUP 2 UNITS |
|------------------|--------------|-------------|--------------------------|--------------|-----------|-------|------------|-----------------|-------------------|---------------|---------------|
| 440101-000000685 | GIA | Feeder Fund | BONIS HOMES GROUP Feeder | GB0001809296 | 35,714.29 | 2.10 | £75,000.01 | 35,714.29 | 0.00 | 35,714.29 | 0.00 |

1 > 10 / page

| Column name | Purpose |
|-------------------|---------------------------------------------------------------------|
| ACCOUNT | The Investor account number. |
| PRODUCT TYPE | Selected from the available products for instance: ISA or GIA. |
| FUND NAME | The name of the fund. |
| SHARE CLASS NAME | The share class name. |
| ISIN | The International Securities Identification Number. |
| UNITS | The number of share units held. |
| PRICE | The price of each unit in pounds. |
| VALUE | The total value of the holding (number units times price per unit). |
| SETTLED HOLDING | The total number of settled holding in units. |
| UNSETTLED HOLDING | The total number of unsettled holdings in units. |
| GROUP 1 UNITS | The total number of Group 1 (bought before XD) units. |
| GROUP 2 UNITS | The total number of Group 2 (bought after XD) units. |

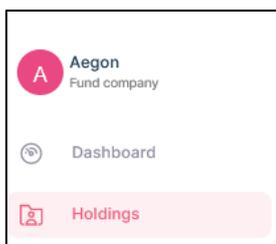
Searching holdings: ISIN

You can view the details of the holdings within the accounts you manage. You can search for details based on the ISIN.

Search holdings based on ISIN:

1. Select *Holdings* from the left-hand side navigation panel.

Information



Result

Holdings

Client account ISIN Share class name Clear All Search

| ACCOUNT | PRODUCT TYPE | FUND NAME | SHARE CLASS NAME | ISIN | UNITS | PRICE | VALUE | SETTLED HOLDING | UNSETTLED HOLDING | GROUP 1 UNITS | GROUP 2 UNITS |
|-------------------|--------------|---------------|-------------------------------------|---------------|------------|-------|-------------|-----------------|-------------------|---------------|---------------|
| 440101-0000000674 | GIA | STANDARD LIFE | STANDARD LIFE EQUITY INCOME TST PLC | GB0006039587 | 79,435.029 | 1.77 | £140,800.00 | 20,000.000 | 59,435.029 | 0.000 | 79,435.029 |
| 440101-0000000674 | GIA | STANDARD LIFE | GO-AHEAD GROUP PLC | GB0003753778 | 20,169.5 | 1.77 | £35,700.01 | 0.0 | 20,169.5 | 0.0 | 20,169.5 |
| 440101-0000000674 | GIA | STANDARD LIFE | ECOFIN GLBL | GB0080394641 | 10,169.50 | 1.77 | £18,000.01 | 0.00 | 10,169.50 | 10,169.50 | 0.00 |
| 440101-0000000674 | GIA | suspension | EVRAZ PLC Sus | GB00871N6K86 | 10,169.5 | 1.77 | £18,000.01 | 0.0 | 10,169.5 | 0.0 | 10,169.5 |
| 440101-0000000674 | GIA | STANDARD LIFE | ABERDEEN NEW DAWN Dis 1 | GB0088M56V29 | 71,200.0 | 1.20 | £85,440.00 | 70,200.0 | 1,000.0 | 70,200.0 | 1,000.0 |
| 440101-0000000674 | GIA | STANDARD LIFE | EP GLOBAL OPPORTUNITIES TRUST PLC | GB0033862573 | 72,760.0 | 1.00 | £72,760.00 | 71,010.0 | 1,750.0 | 70,410.0 | 2,350.0 |
| 440101-0000000674 | GIA | STANDARD LIFE | MJ GLEESON PLC dis 2 | GB008BRK09253 | 46,000.0 | 1.00 | £46,000.00 | 46,000.0 | 0.0 | 45,000.0 | 1,000.0 |
| 440101-0000000674 | GIA | STANDARD LIFE | SHAFTESBURY PLC | GB0007990962 | 27,336.594 | 1.00 | £27,336.59 | 27,336.594 | 0.000 | 9,913.184 | 17,423.410 |
| 440101-0000000674 | GIA | STANDARD LIFE | VOLLUTION GROUP PLC | GB008BRK10568 | 5,734.616 | 1.30 | £7,455.00 | 5,734.616 | 0.000 | 1,340.000 | 4,494.616 |
| 440101-0000000674 | GIA | Merger Check | ASSURA PLC | GB008V08W993 | 16,519.93 | 1.30 | £21,475.91 | 15,018.93 | 1,501.00 | 0.00 | 16,519.93 |

< 1 2 3 > 10 / page

2. Enter an *ISIN*.

Information

Client account ISIN GB00BG00CM73 X Share class name Clear All Search

The *Search* button becomes available.

3. Select *Search*.

Result

| ACCOUNT | PRODUCT TYPE | FUND NAME | SHARE CLASS NAME | ISIN | UNITS | PRICE | VALUE | SETTLED |
|-------------------|--------------|----------------------|--------------------------------------------------------|--------------|----------|-------|-----------|---------|
| 440101-0000000685 | GIA | Baring's Global Fund | Baring's ACS Multi-Factor Global ESG Index Equity Fund | GB008G00CM73 | 2,574.00 | 1.00 | £2,574.00 | 0.00 |

< >

| Column name | Purpose |
|-------------------|---------------------------------------------------------------------|
| ACCOUNT | The Investor account number. |
| PRODUCT TYPE | The product type: ISA or GIA. |
| FUND NAME | The name of the fund. |
| SHARE CLASS NAME | The share class name. |
| ISIN | The International Securities Identification Number. |
| UNITS | The number of share units held. |
| PRICE | The price of each unit in pounds. |
| VALUE | The total value of the holding (number units times price per unit). |
| SETTLED HOLDING | The total number of settled holding in units. |
| UNSETTLED HOLDING | The total number of unsettled holdings in units. |
| GROUP 1 UNITS | The total number of Group 1 (bought before XD) units. |
| GROUP 2 UNITS | The total number of Group 2 (bought after XD) units. |

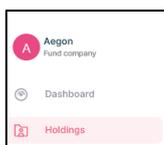
Searching holdings: share class name

You can view the details of the holdings within the accounts you manage. You can search for details based on the share class name.

Search holdings based on share class:

1. Select *Holdings* from the left-hand side navigation panel.

Information



Result

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Holdings

Client account ISIN Share class name Clear All Search

| ACCOUNT | PRODUCT TYPE | FUND NAME | SHARE CLASS NAME | ISIN | UNITS | PRICE | VALUE | SETTLED HOLDING | UNSETTLED HOLDING | GROUP 1 UNITS | GROUP 2 UNITS |
|-------------------|--------------|---------------|-------------------------------------|--------------|------------|-------|-------------|-----------------|-------------------|---------------|---------------|
| 440101-0000000674 | GIA | STANDARD LIFE | STANDARD LIFE EQUITY INCOME TST PLC | GB0006039597 | 79,435.029 | 1.77 | £140,800.00 | 20,000.000 | 59,435.029 | 0.000 | 79,435.029 |
| 440101-0000000674 | GIA | STANDARD LIFE | GO-AHEAD GROUP PLC | GB0003753778 | 20,169.5 | 1.77 | £35,700.01 | 0.0 | 20,169.5 | 0.0 | 20,169.5 |
| 440101-0000000674 | GIA | STANDARD LIFE | ECOFIN GLBL | GB00803V4841 | 10,169.50 | 1.77 | £18,000.01 | 0.00 | 10,169.50 | 10,169.50 | 0.00 |
| 440101-0000000674 | GIA | suspension | EVRAZ PLC Sus | GB00871N8K86 | 10,169.5 | 1.77 | £18,000.01 | 0.0 | 10,169.5 | 0.0 | 10,169.5 |
| 440101-0000000674 | GIA | STANDARD LIFE | ABERDEEN NEW DAWN Dis 1 | GB0088M56V29 | 71,200.0 | 1.20 | £85,440.00 | 70,200.0 | 1,000.0 | 70,200.0 | 1,000.0 |
| 440101-0000000674 | GIA | STANDARD LIFE | EP GLOBAL OPPORTUNITIES TRUST PLC | GB0033882573 | 72,760.0 | 1.00 | £72,780.00 | 71,010.0 | 1,750.0 | 70,410.0 | 2,350.0 |
| 440101-0000000674 | GIA | STANDARD LIFE | MJ GLEESON PLC dis 2 | GB008RDK9Z33 | 46,000.0 | 1.00 | £46,000.00 | 46,000.0 | 0.0 | 45,000.0 | 1,000.0 |
| 440101-0000000674 | GIA | STANDARD LIFE | SHAFTESBURY PLC | GB0007980982 | 27,336.594 | 1.00 | £27,336.59 | 27,336.594 | 0.000 | 9,913.184 | 17,423.410 |
| 440101-0000000674 | GIA | STANDARD LIFE | VOLUTION GROUP PLC | GB008RDK1058 | 5,734.616 | 1.30 | £7,455.00 | 5,734.616 | 0.000 | 1,240.000 | 4,494.616 |
| 440101-0000000674 | GIA | Merger Check | ASSURA PLC | GB008VGBW993 | 16,519.93 | 1.30 | £21,475.91 | 15,018.93 | 1,501.00 | 0.00 | 16,519.93 |

< 1 2 3 > 10 / page

2. Enter a *share class name*.

Information

Client account ISIN × Clear All Search

The *Search* button becomes available.

3. Select *Search*.

Result

| ACCOUNT | PRODUCT TYPE | FUND NAME | SHARE CLASS NAME | ISIN | UNITS | PRICE | VALUE | SETTLED HOLDING | UNSETTLED HOI |
|-------------------|--------------|---------------|---------------------|--------------|----------|-------|------------|-----------------|---------------|
| 440101-0000000674 | GIA | STANDARD LIFE | VPC SPECIALTY Dis 3 | GB008VGBX439 | 69,000.0 | 1.00 | £69,000.00 | 69,500.0 | -500.0 |

| Column name | Purpose |
|-------------------|---------------------------------------------------------------------|
| ACCOUNT | The Investor account number. |
| PRODUCT TYPE | The product type: ISA or GIA. |
| FUND NAME | The name of the fund. |
| SHARE CLASS NAME | The share class name. |
| ISIN | The International Securities Identification Number. |
| UNITS | The number of share units held. |
| PRICE | The price of each unit in pounds. |
| VALUE | The total value of the holding (number units times price per unit). |
| SETTLED HOLDING | The total number of settled holding in units. |
| UNSETTLED HOLDING | The total number of unsettled holdings in units. |
| GROUP 1 UNITS | The total number of Group 1 (bought before XD) units. |
| GROUP 2 UNITS | The total number of Group 2 (bought after XD) units. |

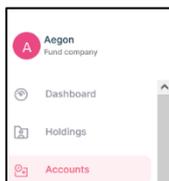
Viewing accounts

You can view accounts.

View accounts:

1. Navigate to the *Accounts* screen.

Information



Result

| CLIENT NAME | ACCOUNT NUMBER | DESIGNATION | CREATION DATE | ACTIVATION DATE | STATUS |
|-------------|-------------------|------------------|---------------|-----------------|--------|
| Yelk Qss | 9000000067 | Stock | 25/07/2022 | 25/07/2022 | Active |
| Yelk Qss | 440101-0000000693 | Distributions | 02/05/2022 | 02/05/2022 | Active |
| Yelk Qss | 440101-0000000758 | re-invest | 18/05/2022 | 24/05/2022 | Active |
| Yelk Qss | 440101-0000000685 | list | 29/04/2022 | 29/04/2022 | Active |
| Yelk Qss | 440101-0000000678 | -- | 29/04/2022 | -- | Active |
| Yelk Qss | 440101-0000000674 | -- | 28/04/2022 | -- | Active |
| Yelk Qss | 440101-0000000679 | Distribution | 29/04/2022 | -- | Draft |
| Yelk Qss | 440101-0000000681 | dis | 29/04/2022 | 29/04/2022 | Active |
| Yelk Qss | 440101-0000000684 | -- | 29/04/2022 | 16/06/2022 | Active |
| Yelk Qss | 440101-0000000002 | Corporate action | 20/05/2022 | 20/05/2022 | Active |

| Column name | Purpose |
|-----------------|-----------------------------------------------------------------------|
| CLIENT NAME | The name of the client. |
| ACCOUNT NUMBER | The Investor account number. |
| DESIGNATION | An account designation. |
| CREATION DATE | The date on which the account was created. |
| ACTIVATION DATE | The date on which the account became active. |
| STATUS | The status of the account: Active, Inactive, Pending, Draft, Closing. |

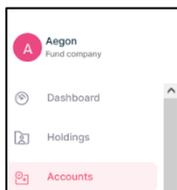
Searching for an account using the account number

You can search for accounts using the account number.

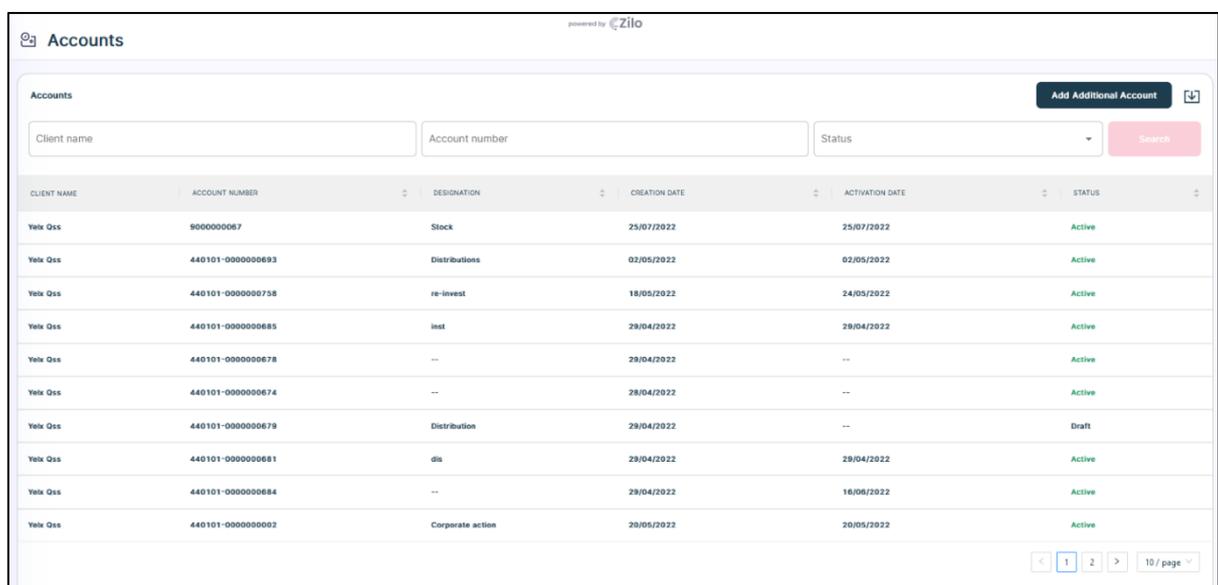
Search using the account number:

1. Navigate to the *Accounts* screen.

Information



Result

A screenshot of the 'Accounts' screen. At the top, there is a search bar with fields for 'Client name', 'Account number', and 'Status', and a 'Search' button. Below the search bar is a table with columns: CLIENT NAME, ACCOUNT NUMBER, DESIGNATION, CREATION DATE, ACTIVATION DATE, and STATUS. The table contains 11 rows of account data. At the bottom right, there are pagination controls showing '1 / page'.

2. Enter the *Account Number*.

Information

A screenshot of the search bar with the account number '440101-000000693' entered in the 'Account number' field. The 'Search' button is now visible and active.

Result

The *Search* button becomes available.

3. Select *Search*.

Result

A screenshot of the 'Accounts' screen showing the search results. The table now only contains one row of account data: 'Yelx Qss' with account number '440101-000000693', designation 'Distributions', creation date '02/05/2022', activation date '02/05/2022', and status 'Active'. The pagination controls at the bottom right show '1 / page'.

| Column name | Purpose |
|-----------------|-----------------------------------------------------------------------|
| CLIENT NAME | The name of the client. |
| ACCOUNT NUMBER | The Investor account number. |
| DESIGNATION | The account designation. |
| CREATION DATE | The date on which the account was created. |
| ACTIVATION DATE | The date on which the account became active. |
| STATUS | The status of the account: Active, Inactive, Pending, Draft, Closing. |

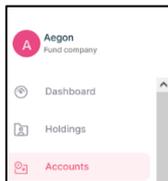
Searching for an account using account status

You can search for accounts using the account status.

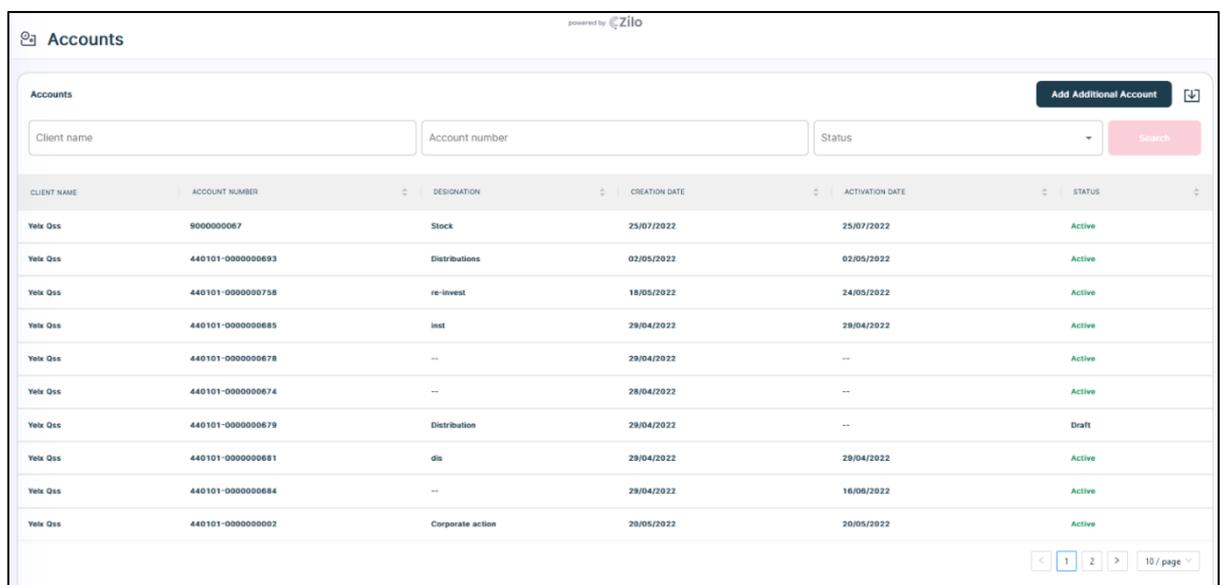
Search using the account status:

1. Navigate to the *Accounts* screen.

Information



Result



The screenshot shows the 'Accounts' screen with a search bar and a table of accounts. The search bar has fields for 'Client name', 'Account number', and 'Status'. The 'Status' dropdown menu is open, showing 'Inactive' selected. The 'Search' button is highlighted in red. The table below shows a list of accounts with columns for Client Name, Account Number, Designation, Creation Date, Activation Date, and Status.

| CLIENT NAME | ACCOUNT NUMBER | DESIGNATION | CREATION DATE | ACTIVATION DATE | STATUS |
|-------------|-------------------|------------------|---------------|-----------------|--------|
| Yelik Qss | 9000000067 | Stock | 25/07/2022 | 25/07/2022 | Active |
| Yelik Qss | 440101-0000000693 | Distributions | 02/05/2022 | 02/05/2022 | Active |
| Yelik Qss | 440101-0000000738 | re-invest | 18/05/2022 | 24/05/2022 | Active |
| Yelik Qss | 440101-0000000685 | inst | 29/04/2022 | 29/04/2022 | Active |
| Yelik Qss | 440101-0000000678 | -- | 29/04/2022 | -- | Active |
| Yelik Qss | 440101-0000000674 | -- | 28/04/2022 | -- | Active |
| Yelik Qss | 440101-0000000679 | Distribution | 29/04/2022 | -- | Draft |
| Yelik Qss | 440101-0000000681 | dis | 29/04/2022 | 29/04/2022 | Active |
| Yelik Qss | 440101-0000000684 | -- | 29/04/2022 | 16/06/2022 | Active |
| Yelik Qss | 440101-0000000002 | Corporate action | 20/05/2022 | 20/05/2022 | Active |

2. Select the status from the drop-down menu.

Information

A screenshot of the search bar with the 'Status' dropdown menu open. The dropdown menu shows 'Inactive' selected. The 'Search' button is highlighted in red.

Result

The *Search* button becomes available.

3. Select *Search*.

Result

All accounts with that status are displayed.

| Column name | Purpose |
|-----------------|-----------------------------------------------------------------------|
| CLIENT NAME | The name of the client. |
| ACCOUNT NUMBER | The Investor account number. |
| DESIGNATION | The account designation. |
| CREATION DATE | The date on which the account was created. |
| ACTIVATION DATE | The date on which the account became active. |
| STATUS | The status of the account: Active, Inactive, Pending, Draft, Closing. |

Adding an account

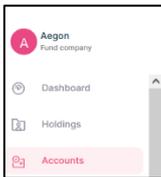
You can manually add an account. You must be a super user to perform this action.

Note, it is the responsibility of our investors to grant and manage all access rights assigned to their investor reference(s). We shall not be liable for any consequences arising from an incorrect or inappropriate grant of access rights by an investor. We strongly recommend that our investors carry out regular reviews of the access rights they have granted, to ensure these are still valid and necessary

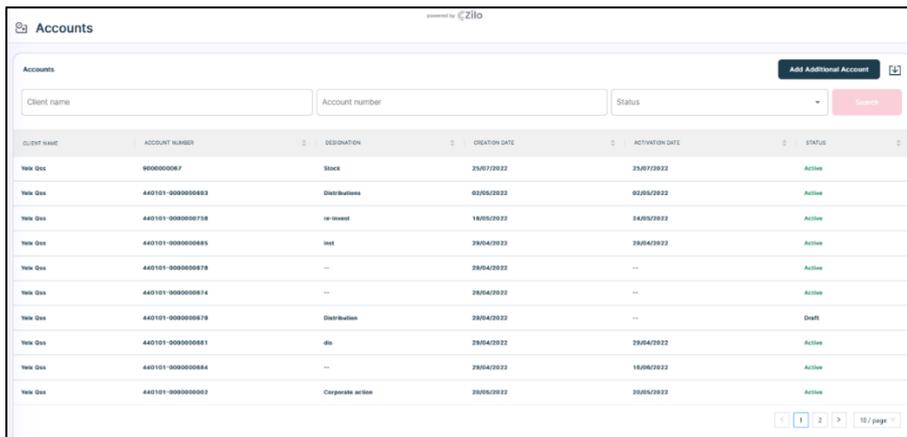
Add an account:

1. Navigate to the *Accounts* screen.

Information



Result



The screenshot shows the 'Accounts' screen with a table of account details. The table has columns for Client Name, Account Number, Designation, Creation Date, Activation Date, and Status. There are 11 rows of data, each representing a different account type such as Stock, Distribution, IR Invest, and Corporate action.

| CLIENT NAME | ACCOUNT NUMBER | DESIGNATION | CREATION DATE | ACTIVATION DATE | STATUS |
|-------------|------------------|------------------|---------------|-----------------|--------|
| New Dis | 800000067 | Stock | 23/07/2022 | 23/07/2022 | Active |
| New Dis | 440101-000000883 | Distribution | 02/06/2022 | 02/06/2022 | Active |
| New Dis | 440101-000000878 | IR Invest | 18/05/2022 | 24/05/2022 | Active |
| New Dis | 440101-000000885 | Intl | 28/04/2022 | 28/04/2022 | Active |
| New Dis | 440101-000000879 | -- | 28/04/2022 | -- | Active |
| New Dis | 440101-000000874 | -- | 28/04/2022 | -- | Active |
| New Dis | 440101-000000879 | Distribution | 28/04/2022 | -- | Draft |
| New Dis | 440101-000000881 | Intl | 23/04/2022 | 23/04/2022 | Active |
| New Dis | 440101-000000884 | -- | 28/04/2022 | 18/06/2022 | Active |
| New Dis | 440101-000000882 | Corporate action | 28/05/2022 | 28/05/2022 | Active |

2. Select *Add Additional Account*.

Information



Result

Add additional account ✕

Please enter the details below

Registered name
Yelx Qss

Registered address
8 Shore Street Stoke Wake London United Kingdom DT11 8TZ

Correspondence address
1 Fulham RD Streetwork London United Kingdom W02 EH2

Fund company*

Account designation

Account mandate*

Save Details
View Bank Details

3. Enter the account details.
4. Select *Save Details*.

Result

| CLIENT NAME | ACCOUNT NUMBER | DESIGNATION | CREATION DATE | ACTIVATION DATE | STATUS |
|-------------|----------------|-------------|---------------|-----------------|--------|
| Yelx Qss | 9000000103 | acc | 08/08/2022 | 08/08/2022 | Active |

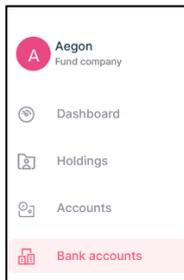
Viewing bank account details

You can view detailed information about a bank account.

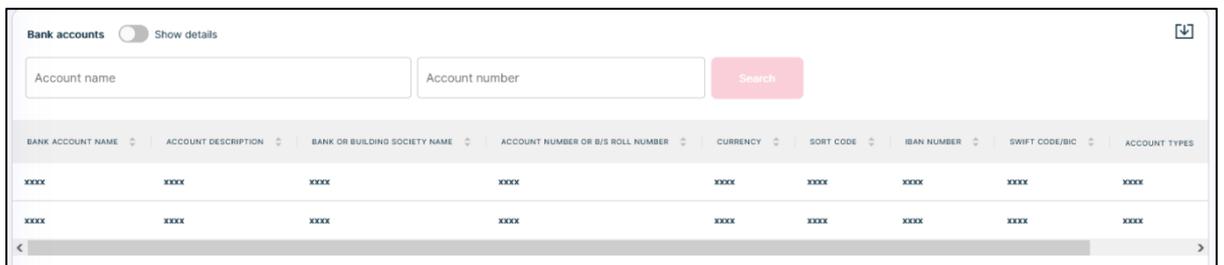
View bank account details:

1. Navigate to *Bank Accounts*.

Information



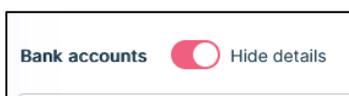
Result



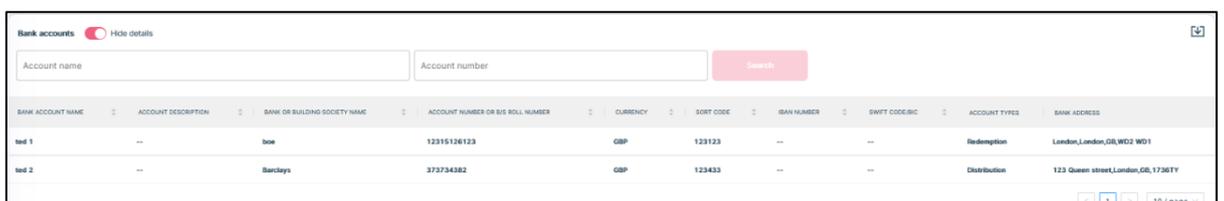
2. Select *Show Details*.

Information

By default, bank account details are hidden. Use the slider to view the hidden details.



Result



| Column name | Purpose |
|----------------------------------|----------------------------------------------------------|
| BANK ACCOUNT NAME | The name of the bank account. |
| ACCOUNT DESCRIPTION | An account description. |
| BANK OR BUILDING SOCIETY NAME | The name of the institution. |
| ACCOUNT NUMBER OR BS ROLL NUMBER | The bank account number or building society roll number. |
| CURRENCY | The currency of the bank account. |
| SORT CODE | The accounts sort code. |
| IBAN NUMBER | The accounts IBAN number. |
| SWIFT CODE/BIC | The accounts SWIFT code/BIC. |
| ACCOUNT TYPES | The account type. |
| BANK ADDRESS | The bank's address. |

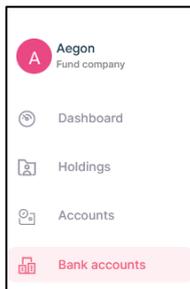
Searching for bank account details using the account name

You can view detailed information about a bank account. You can search for a particular bank account using the name of the account.

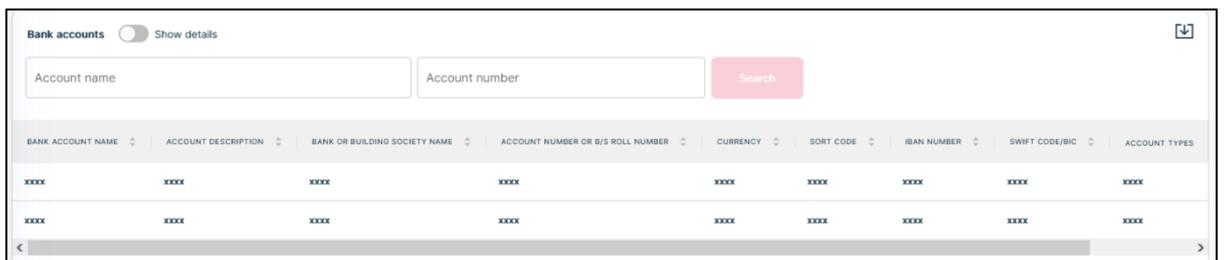
View bank account details:

1. Navigate to *Bank Accounts*.

Information

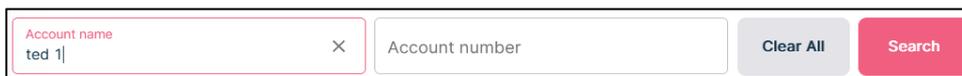


Result



2. Enter the *Account name*.

Information



Result

The search button becomes available.

3. Select *Search*.

Result



4. Select *Show Details*.

Information

By default, bank account details are hidden. Use the slider to view the hidden details.

Bank accounts Hide details

Result

| BANK ACCOUNT NAME | ACCOUNT DESCRIPTION | BANK OR BUILDING SOCIETY NAME | ACCOUNT NUMBER OR BS ROLL NUMBER | CURRENCY | SORT CODE | IBAN NUMBER | SWIFT CODE/BIC | ACCOUNT TYPES | BANK ADDRESS |
|-------------------|---------------------|-------------------------------|----------------------------------|----------|-----------|-------------|----------------|---------------|--------------------------------------|
| Ind 1 | -- | boe | 12315126123 | GBP | 123123 | -- | -- | Redemption | London, London, GB, W1C 2W1 |
| Ind 2 | -- | Barclays | 373734382 | GBP | 123433 | -- | -- | Distribution | 123 Queen street, London, GB, E1 1BB |

| Column name | Purpose |
|----------------------------------|----------------------------------------------------------|
| BANK ACCOUNT NAME | The name of the bank account. |
| ACCOUNT DESCRIPTION | An account description. |
| BANK OR BUILDING SOCIETY NAME | The name of the institution. |
| ACCOUNT NUMBER OR BS ROLL NUMBER | The bank account number or building society roll number. |
| CURRENCY | The currency of the bank account. |
| SORT CODE | The accounts sort code. |
| IBAN NUMBER | The accounts IBAN number. |
| SWIFT CODE/BIC | The accounts SWIFT code/BIC. |
| ACCOUNT TYPES | The account type. |
| BANK ADDRESS | The bank's address. |

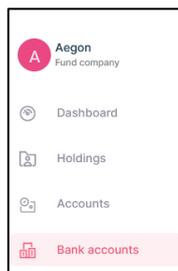
Searching for bank account details using the bank account number

You can view detailed information about a bank account using the account number as a search term. You can search for a particular account using the bank account number.

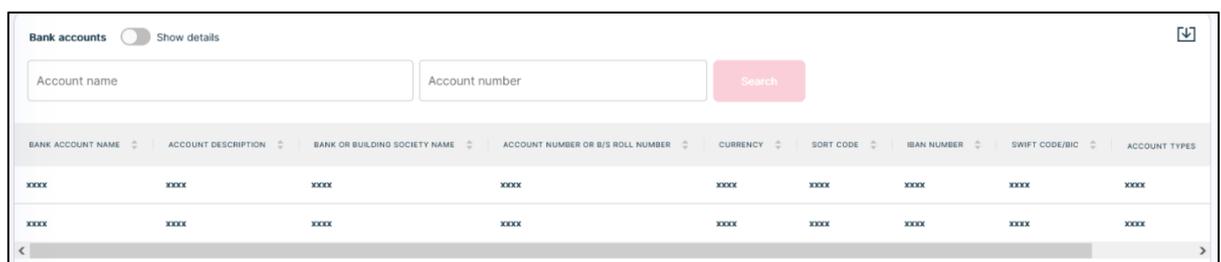
View bank account details:

1. Navigate to *Bank Accounts*.

Information



Result



2. Enter the bank *Account number*.

Information



Result

The search button becomes available.

3. Select *Search*.

Result



4. Select *Show Details*.

Information

By default, bank account details are hidden. Use the slider to view the hidden details.

Bank accounts Hide details

Result

| BANK ACCOUNT NAME | ACCOUNT DESCRIPTION | BANK OR BUILDING SOCIETY NAME | ACCOUNT NUMBER OR B/S ROLL NUMBER | CURRENCY | SORT CODE | IBAN NUMBER |
|-------------------|---------------------|-------------------------------|-----------------------------------|----------|-----------|-------------|
| led 1 | -- | boe | 12315126123 | GBP | 123123 | -- |

| Column name | Purpose |
|----------------------------------|----------------------------------------------------------|
| BANK ACCOUNT NAME | The name of the bank account. |
| ACCOUNT DESCRIPTION | An account description. |
| BANK OR BUILDING SOCIETY NAME | The name of the institution. |
| ACCOUNT NUMBER OR BS ROLL NUMBER | The bank account number or building society roll number. |
| CURRENCY | The currency of the bank account. |
| SORT CODE | The accounts sort code. |
| IBAN NUMBER | The accounts IBAN number. |
| SWIFT CODE/BIC | The accounts SWIFT code/BIC. |
| ACCOUNT TYPES | The account type. |
| BANK ADDRESS | The bank's address. |

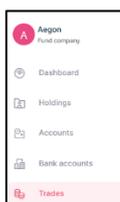
Viewing trades

You can view detailed information about trades. By default, you can see trades for the current day.

View trades:

1. Select *Trades* from the left-hand side navigation panel.

Information



Result

By default, you can see trades for the current day.

| DEAL DATE | SETTLEMENT DATE | ORDER REFERENCE NUMBER | EXTERNAL DEAL REFERENCE | ACCOUNT NUMBER | PRODUCT TYPE | SHARE CLASS NAME | ISIN | DEAL TYPE | DEAL AMOUNT | SETTLEMENT AMOUNT | STATUS |
|------------------|-----------------|------------------------|-------------------------|----------------|--------------|---------------------------------------|--------------|-----------|-------------|-------------------|--------------------|
| 02/06/2022 07:57 | 02/06/2022 | 0000000023 | 0000000014 | 0000000014 | GIA | EP GLOBAL OPPORTUNITIES TRUST PLC | 000000002373 | BUY | 0,000.0 | 00.00 | Rejected |
| 02/06/2022 07:58 | 02/06/2022 | 0000000022 | 0000000014 | 0000000014 | GIA | EP GLOBAL OPPORTUNITIES TRUST PLC | 000000002373 | BUY | 0.00 | 00.00 | Failed |
| 02/06/2022 07:57 | 02/06/2022 | 0000000021 | 0000000014 | 0000000014 | GIA | EP GLOBAL OPPORTUNITIES TRUST PLC | 000000002373 | BUY | 20,000.0 | 00.00 | Book |
| 02/06/2022 07:50 | 02/06/2022 | 0000000019 | --- | 0000000014 | GIA | ECOPR ISLN | 000000000041 | BUY | 10,000.00 | 00.00 | ContractualSettled |
| 02/06/2022 10:00 | 02/06/2022 | 0000000018 | --- | 0000000014 | GIA | ETRA2 PLC SA | 000000000000 | BUY | 10,000.0 | 00.00 | ContractualSettled |
| 02/06/2022 04:00 | 02/06/2022 | 0000000022 | --- | 0000000014 | GIA | CO-BREAD GROUP PLC | 000000000000 | BUY | 10,000.0 | 00.00 | ContractualSettled |
| 02/06/2022 04:00 | 02/06/2022 | 0000000013 | --- | 0000000014 | GIA | STANDARD LIFE EQUITY FUNDING TRST PLC | 000000000000 | SELL | 500,000.00 | 00.00 | ContractualSettled |
| 00/00/2022 10:00 | 00/00/2022 | 0000000008 | 0000000014 | 0000000014 | GIA | EP GLOBAL OPPORTUNITIES TRUST PLC | 000000002373 | BUY | 20,000.0 | 00.00 | Rejected |
| 00/00/2022 10:10 | 00/00/2022 | 0000000007 | 0000000014 | 0000000014 | GIA | EP GLOBAL OPPORTUNITIES TRUST PLC | 000000002373 | SELL | 0.00 | 00.00 | Rejected |
| 00/00/2022 10:10 | 00/00/2022 | 0000000006 | 0000000014 | 0000000014 | GIA | EP GLOBAL OPPORTUNITIES TRUST PLC | 000000002373 | BUY | 0.00 | 00.00 | Rejected |

| Column name | Purpose |
|-------------------------|--------------------------------------------------------------------|
| DEAL DATE | The date on which the deal was completed. |
| SETTLEMENT DATE | The date on which the trade was settled. |
| ORDER REFERENCE NUMBER | A generated order reference number. |
| EXTERNAL DEAL REFERENCE | A deal reference number generated by an external system. |
| ACCOUNT NUMBER | The Investor account connected with the deal. |
| PRODUCT TYPE | The type of investment product associated with the deal: GIA, ISA. |
| SHARE CLASS NAME | The share class name related to the deal. |
| ISIN | The International Securities Identification Number. |
| DEAL TYPE | The deal type: buy/sell. |
| UNIT | The number of units in the deal. |
| DEAL AMOUNT | The deal amount in pounds. |
| SETTLEMENT AMOUNT | The settlement amount in pounds. |
| STATUS | The deal status from allowed statuses. |

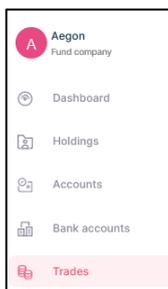
Viewing trades using a filter

You can view trades at each stage in the trading process using the appropriate filter. The available filters are accepted, cancelled, priced, failed, draft and settled. Select the appropriate filter to see the shares in that stage of the process. The use of filters is illustrated with accepted trades.

View accepted trades using a filter:

1. Select *Trades* from the left-hand side navigation panel.

Information



Result

Trades

Select date: 28/07/2022 - 03/08/2022

Account number: ISIN: Order reference number: Deal type: Search

| Deal date | Settlement date | Order reference number | External deal reference | Account number | Product type | Share class name | ISIN | Deal type | Unit | Deal amount | Settlement amount | Status |
|------------------|-----------------|------------------------|-------------------------|-------------------|--------------|-------------------------------------|--------------|-----------|-----------|-------------|-------------------|--------------------|
| 02/08/2022 07:57 | 03/08/2022 | 0000006423 | ORD_1_109 | 440101-0000000074 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 5,000.0 | €0.00 | €0.00 | Rejected |
| 02/08/2022 07:56 | 03/08/2022 | 0000006422 | ORD_1_109 | 440101-0000000074 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 500.0 | €0.00 | €500.00 | Failed |
| 02/08/2022 07:37 | 03/08/2022 | 0000006421 | ORD_1_109 | 440101-0000000074 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 20,000.0 | €0.00 | €20,000.00 | Draft |
| 01/08/2022 07:00 | 02/08/2022 | 0000006615 | -- | 440101-0000000074 | GIA | ECOFIN GLBL | GB00020V4641 | BUY | 10,169.50 | €18,000.00 | €18,000.00 | ContractualSettled |
| 01/08/2022 06:00 | 02/08/2022 | 0000006614 | -- | 440101-0000000074 | GIA | EYRAZ PLC Sns | GB00071N6K86 | BUY | 10,169.5 | €18,000.00 | €18,000.00 | ContractualSettled |
| 01/08/2022 05:00 | 02/08/2022 | 0000006602 | -- | 440101-0000000074 | GIA | GO-AHEAD GROUP PLC | GB0003753778 | BUY | 10,169.5 | €18,000.00 | €18,000.00 | ContractualSettled |
| 01/08/2022 05:00 | 02/08/2022 | 0000006613 | -- | 440101-0000000074 | GIA | STANDARD LIFE EQUITY INCOME TST PLC | GB0000839597 | SELL | 564.971 | €1,000.00 | €1,000.00 | ContractualSettled |
| 29/07/2022 16:24 | 30/07/2022 | 0000006599 | ORD_1_109 | 440101-0000000074 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 20,000.0 | €0.00 | €0.00 | Rejected |
| 29/07/2022 15:12 | 30/07/2022 | 0000006597 | ORD_1_109 | 440101-0000000074 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | SELL | 200.0 | €0.00 | €0.00 | Rejected |
| 29/07/2022 15:10 | 30/07/2022 | 0000006596 | ORD_1_109 | 440101-0000000074 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 200.0 | €0.00 | €0.00 | Rejected |

2. Select *Accepted*.

Information



Result

| Account number | ISIN | Order reference number | Deal type | Search | | |
|------------------|-----------------|------------------------|-------------------------|-------------------|--------------|-------------------------------------|
| All | Accepted | Cancelled | Priced | Failed | Draft | Settled |
| DEAL DATE | SETTLEMENT DATE | ORDER REFERENCE NUMBER | EXTERNAL DEAL REFERENCE | ACCOUNT NUMBER | PRODUCT TYPE | SHARE CLASS NAME |
| 06/09/2022 07:17 | 07/09/2022 | 0000007167 | ORD_s_109 | 440101-0000000674 | GIA | STANDARD LIFE EQUITY INCOME TST PLC |
| 06/09/2022 07:16 | 07/09/2022 | 0000007166 | ORD_s_109 | 440101-0000000674 | GIA | STANDARD LIFE EQUITY INCOME TST PLC |
| 05/09/2022 10:17 | 06/09/2022 | 0000007121 | ORD_s_109 | 440101-0000000674 | GIA | STANDARD LIFE EQUITY INCOME TST PLC |
| 05/09/2022 06:00 | 06/09/2022 | 0000007132 | -- | 440101-0000000674 | GIA | VPC SPECIALTY Dis 3 |
| 05/09/2022 06:00 | 06/09/2022 | 0000007132 | -- | 440101-0000000674 | GIA | PACIFIC HORIZON INV TST PLC |

| Column name | Purpose |
|-------------------------|--------------------------------------------------------------------|
| DEAL DATE | The date on which the deal was completed. |
| SETTLEMENT DATE | The date on which the trade was settled. |
| ORDER REFERENCE NUMBER | A generated order reference number. |
| EXTERNAL DEAL REFERENCE | A deal reference number generated by an external system. |
| ACCOUNT NUMBER | The Investor account connected with the deal. |
| PRODUCT TYPE | The type of investment product associated with the deal: GIA, ISA. |
| SHARE CLASS NAME | The share class name related to the deal. |
| ISIN | The International Securities Identification Number. |
| DEAL TYPE | The deal type: buy/sell. |
| UNIT | The number of units in the deal. |
| DEAL AMOUNT | The deal amount in pounds. |
| SETTLEMENT AMOUNT | The settlement amount in pounds. |
| STATUS | The deal status from allowed statuses. |

Viewing trades by date range

You can view trades by setting a date range.

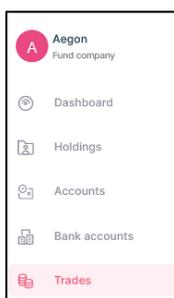
You can select:

- A date range
- Today
- Previous day
- Last 7 days
- Last 30 days
- Last 90 days

View trades by date range:

1. Select *Trades* from the left-hand side navigation panel.

Information



Result

The screenshot shows the 'Trades' table in the application interface. The table has a search bar at the top with a date range selector set to '28/07/2022 - 03/08/2022'. Below the search bar are filters for 'Account number', 'ISIN', 'Order reference number', and 'Deal type'. The table is filtered to show 'All' trades. The table columns are: DEAL DATE, SETTLEMENT DATE, ORDER REFERENCE NUMBER, EXTERNAL DEAL REFERENCE, ACCOUNT NUMBER, PRODUCT TYPE, SHARE CLASS NAME, ISIN, DEAL TYPE, UNIT, DEAL AMOUNT, SETTLEMENT AMOUNT, and STATUS. The table contains 10 rows of trade data.

| DEAL DATE | SETTLEMENT DATE | ORDER REFERENCE NUMBER | EXTERNAL DEAL REFERENCE | ACCOUNT NUMBER | PRODUCT TYPE | SHARE CLASS NAME | ISIN | DEAL TYPE | UNIT | DEAL AMOUNT | SETTLEMENT AMOUNT | STATUS |
|------------------|-----------------|------------------------|-------------------------|-------------------|--------------|-------------------------------------|--------------|-----------|-----------|-------------|-------------------|--------------------|
| 02/08/2022 07:57 | 03/08/2022 | 0000006623 | ORD_L_109 | 440101-0000000074 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 5,000.0 | €0.00 | €0.00 | Rejected |
| 02/08/2022 07:56 | 03/08/2022 | 0000006622 | ORD_L_109 | 440101-0000000074 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 500.0 | €0.00 | €500.00 | Failed |
| 02/08/2022 07:37 | 03/08/2022 | 0000006621 | ORD_L_109 | 440101-0000000074 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 20,000.0 | €0.00 | €20,000.00 | Draft |
| 01/08/2022 07:00 | 02/08/2022 | 0000006615 | -- | 440101-0000000074 | GIA | ECOFIN GBL | GB0000204641 | BUY | 10,169.50 | €18,000.00 | €18,000.00 | ContractualSettled |
| 01/08/2022 06:00 | 02/08/2022 | 0000006614 | -- | 440101-0000000074 | GIA | EVRAZ PLC Sss | GB00071N6K86 | BUY | 10,169.5 | €18,000.00 | €18,000.00 | ContractualSettled |
| 01/08/2022 05:00 | 02/08/2022 | 0000006602 | -- | 440101-0000000074 | GIA | GO-AHEAD GROUP PLC | GB0003753778 | BUY | 10,169.5 | €18,000.00 | €18,000.00 | ContractualSettled |
| 01/08/2022 05:00 | 02/08/2022 | 0000006613 | -- | 440101-0000000074 | GIA | STANDARD LIFE EQUITY INCOME TST PLC | GB0006039597 | SELL | 544.971 | €1,000.00 | €1,000.00 | ContractualSettled |
| 29/07/2022 16:24 | 30/07/2022 | 0000006599 | ORD_L_109 | 440101-0000000074 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 20,000.0 | €0.00 | €0.00 | Rejected |
| 29/07/2022 15:12 | 30/07/2022 | 0000006597 | ORD_L_109 | 440101-0000000074 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | SELL | 200.0 | €0.00 | €0.00 | Rejected |
| 29/07/2022 15:10 | 30/07/2022 | 0000006596 | ORD_L_109 | 440101-0000000074 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 200.0 | €0.00 | €0.00 | Rejected |

2. Select *Select Date*.

Information

Select date
 01/10/2019 - 03/10/2022

Result

A pop-out calendar is visible.

3. Select the required date range.
4. Select *Search*.

Result

| DEAL DATE | SETTLEMENT DATE | ORDER REFERENCE NUMBER | EXTERNAL DEAL REFERENCE | ACCOUNT NUMBER | PRODUCT TYPE | SHARE CLASS NAME | ISIN | DEAL TYPE | UNIT | DEAL AMOUNT | SETTLEMENT AMOUNT | STATUS |
|------------------|-----------------|------------------------|-------------------------|-------------------|--------------|-------------------------------------|---------------|-----------|-----------|-------------|-------------------|--------------------|
| 02/08/2022 07:57 | 03/08/2022 | 0000006623 | ORD_L_109 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 5,000.0 | £0.00 | £0.00 | Rejected |
| 02/08/2022 07:56 | 03/08/2022 | 0000006622 | ORD_L_109 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 500.0 | £0.00 | £500.00 | Failed |
| 02/08/2022 07:37 | 03/08/2022 | 0000006621 | ORD_L_109 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 20,000.0 | £0.00 | £20,000.00 | Draft |
| 01/08/2022 07:00 | 02/08/2022 | 0000006615 | -- | 440101-0000000674 | GIA | ECOFIN OLB | GB00020V4641 | BUY | 10,169.50 | £18,000.00 | £18,000.00 | ContractualSettled |
| 01/08/2022 06:00 | 02/08/2022 | 0000006614 | -- | 440101-0000000674 | GIA | EVRAZ PLC SpA | GB00071N6036 | BUY | 10,169.5 | £18,000.00 | £18,000.00 | ContractualSettled |
| 01/08/2022 05:00 | 02/08/2022 | 0000006602 | -- | 440101-0000000674 | GIA | GO-AHEAD GROUP PLC | GB0003753778 | BUY | 10,169.5 | £18,000.00 | £18,000.00 | ContractualSettled |
| 01/08/2022 05:00 | 02/08/2022 | 0000006613 | -- | 440101-0000000674 | GIA | STANDARD LIFE EQUITY INCOME TST PLC | GB00006039597 | SELL | 564.971 | £1,000.00 | £1,000.00 | ContractualSettled |
| 29/07/2022 16:24 | 30/07/2022 | 0000006599 | ORD_L_109 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 20,000.0 | £0.00 | £0.00 | Rejected |
| 29/07/2022 15:12 | 30/07/2022 | 0000006597 | ORD_L_109 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | SELL | 200.0 | £0.00 | £0.00 | Rejected |
| 29/07/2022 15:10 | 30/07/2022 | 0000006596 | ORD_L_109 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 200.0 | £0.00 | £0.00 | Rejected |

| Column name | Purpose |
|-------------------------|--------------------------------------------------------------------|
| DEAL DATE | The date on which the deal was completed. |
| SETTLEMENT DATE | The date on which the trade was settled. |
| ORDER REFERENCE NUMBER | A generated order reference number. |
| EXTERNAL DEAL REFERENCE | A deal reference number generated by an external system. |
| ACCOUNT NUMBER | The Investor account connected with the deal. |
| PRODUCT TYPE | The type of investment product associated with the deal: GIA, ISA. |
| SHARE CLASS NAME | The share class name related to the deal. |
| ISIN | The International Securities Identification Number. |
| DEAL TYPE | The deal type: buy/sell. |
| UNIT | The number of units in the deal. |
| DEAL AMOUNT | The deal amount in pounds. |
| SETTLEMENT AMOUNT | The settlement amount in pounds. |
| STATUS | The deal status from allowed statuses. |

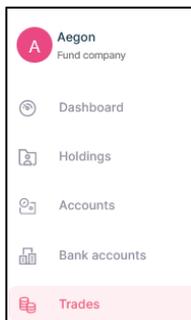
Searching for a trade by account number

You can search for a particular trade by the account number associated with that trade.

Search for a trade by account number:

1. Select *Trades* from the left-hand side navigation panel.

Information



Result

The screenshot shows the 'Trades' page with search filters and a table of trades. The search filters are: Account number (440101-000000674), ISIN, Order reference number, and Deal type. The table has columns: DEAL DATE, SETTLEMENT DATE, ORDER REFERENCE NUMBER, EXTERNAL DEAL REFERENCE, ACCOUNT NUMBER, PRODUCT TYPE, SHARE CLASS NAME, ISIN, DEAL TYPE, UNIT, DEAL AMOUNT, SETTLEMENT AMOUNT, and STATUS.

| DEAL DATE | SETTLEMENT DATE | ORDER REFERENCE NUMBER | EXTERNAL DEAL REFERENCE | ACCOUNT NUMBER | PRODUCT TYPE | SHARE CLASS NAME | ISIN | DEAL TYPE | UNIT | DEAL AMOUNT | SETTLEMENT AMOUNT | STATUS |
|------------------|-----------------|------------------------|-------------------------|------------------|--------------|-------------------------------------|--------------|-----------|-----------|-------------|-------------------|--------------------|
| 02/08/2022 07:57 | 03/08/2022 | 000006623 | ORD_L_109 | 440101-000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 5,000.0 | €0.00 | €0.00 | Rejected |
| 02/08/2022 07:56 | 03/08/2022 | 000006622 | ORD_L_109 | 440101-000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 500.0 | €0.00 | €500.00 | Failed |
| 02/08/2022 07:37 | 03/08/2022 | 000006621 | ORD_L_109 | 440101-000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 20,000.0 | €0.00 | €20,000.00 | Draft |
| 01/08/2022 07:00 | 02/08/2022 | 000006615 | -- | 440101-000000674 | GIA | ECOFIN GLBL | GB00020V4641 | BUY | 10,169.50 | €18,000.00 | €18,000.00 | ContractualSettled |
| 01/08/2022 06:00 | 02/08/2022 | 000006614 | -- | 440101-000000674 | GIA | EYRAZ PLC Sub | GB00071N6836 | BUY | 10,169.5 | €18,000.00 | €18,000.00 | ContractualSettled |
| 01/08/2022 05:00 | 02/08/2022 | 000006602 | -- | 440101-000000674 | GIA | GO-AHEAD GROUP PLC | GB0003753778 | BUY | 10,169.5 | €18,000.00 | €18,000.00 | ContractualSettled |
| 01/08/2022 05:00 | 02/08/2022 | 000006613 | -- | 440101-000000674 | GIA | STANDARD LIFE EQUITY INCOME TST PLC | GB0006039597 | SELL | 564.971 | €1,000.00 | €1,000.00 | ContractualSettled |
| 29/07/2022 16:24 | 30/07/2022 | 000006599 | ORD_L_109 | 440101-000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 20,000.0 | €0.00 | €0.00 | Rejected |
| 29/07/2022 15:12 | 30/07/2022 | 000006597 | ORD_L_109 | 440101-000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | SELL | 200.0 | €0.00 | €0.00 | Rejected |
| 29/07/2022 15:10 | 30/07/2022 | 000006596 | ORD_L_109 | 440101-000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 200.0 | €0.00 | €0.00 | Rejected |

2. Enter the *Account Number*.

Information

The screenshot shows the search filters with the account number '440101-000000674' entered in the 'Account number' field. The 'Search' button is now visible and highlighted in red.

Result

The search button becomes available.

3. Select *Search*.

Result

The screenshot shows the 'Trades' table with one search result. The columns are: DEAL DATE, SETTLEMENT DATE, ORDER REFERENCE NUMBER, EXTERNAL DEAL REFERENCE, ACCOUNT NUMBER, PRODUCT TYPE, and SHARE CLASS NAME.

| DEAL DATE | SETTLEMENT DATE | ORDER REFERENCE NUMBER | EXTERNAL DEAL REFERENCE | ACCOUNT NUMBER | PRODUCT TYPE | SHARE CLASS NAME |
|------------------|-----------------|------------------------|-------------------------|------------------|--------------|-------------------------------------|
| 06/10/2022 08:58 | 06/10/2022 | 000007646 | -- | 440101-000000674 | GIA | STANDARD LIFE EQUITY INCOME TST PLC |

| Column name | Purpose |
|-------------------------|--------------------------------------------------------------------|
| DEAL DATE | The date on which the deal was completed. |
| SETTLEMENT DATE | The date on which the trade was settled. |
| ORDER REFERENCE NUMBER | A generated order reference number. |
| EXTERNAL DEAL REFERENCE | A deal reference number generated by an external system. |
| ACCOUNT NUMBER | The Investor account connected with the deal. |
| PRODUCT TYPE | The type of investment product associated with the deal: GIA, ISA. |
| SHARE CLASS NAME | The share class name related to the deal. |
| ISIN | The International Securities Identification Number. |
| DEAL TYPE | The deal type: buy/sell. |
| UNIT | The number of units in the deal. |
| DEAL AMOUNT | The deal amount in pounds. |
| SETTLEMENT AMOUNT | The settlement amount in pounds. |
| STATUS | The deal status from allowed statuses. |

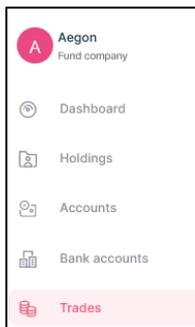
Searching for a trade by ISIN

You can search for a particular trade by the ISIN associated with that trade.

Search for a trade by ISIN:

1. Select *Trades* from the left-hand side navigation panel.

Information



Result

| Deal Date | Settlement Date | Order Reference Number | External Deal Reference | Account Number | Product Type | Share Class Name | ISIN | Deal Type | Unit | Deal Amount | Settlement Amount | Status |
|------------------|-----------------|------------------------|-------------------------|-------------------|--------------|--------------------------------------|---------------|-----------|-----------|-------------|-------------------|--------------------|
| 02/08/2022 07:57 | 03/08/2022 | 000006623 | ORD_n_199 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 5,000.0 | 03.00 | 03.00 | Rejected |
| 02/08/2022 07:56 | 03/08/2022 | 000006622 | ORD_n_199 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 500.0 | 03.00 | £500.00 | Failed |
| 02/08/2022 07:37 | 03/08/2022 | 000006621 | ORD_n_199 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 20,000.0 | 03.00 | £20,000.00 | Draft |
| 01/08/2022 07:00 | 02/08/2022 | 000006615 | -- | 440101-0000000674 | GIA | ECOFIN GBL | GB00003V4641 | BUY | 10,169.50 | £18,000.00 | £18,000.00 | ContractualSettled |
| 01/08/2022 06:00 | 02/08/2022 | 000006614 | -- | 440101-0000000674 | GIA | EVIK2 PLC Sns | GB00071N6K86 | BUY | 10,169.5 | £18,000.00 | £18,000.00 | ContractualSettled |
| 01/08/2022 05:00 | 02/08/2022 | 000006602 | -- | 440101-0000000674 | GIA | GO-AHEAD GROUP PLC | GB0003753778 | BUY | 10,169.5 | £18,000.00 | £18,000.00 | ContractualSettled |
| 01/08/2022 05:00 | 02/08/2022 | 000006613 | -- | 440101-0000000674 | GIA | STANDARD LIFE EQUITY INCOME TEST PLC | GB00006039597 | SELL | 584.971 | £1,000.00 | £1,000.00 | ContractualSettled |
| 29/07/2022 16:24 | 30/07/2022 | 000006599 | ORD_n_199 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 20,000.0 | 03.00 | 03.00 | Rejected |
| 29/07/2022 15:12 | 30/07/2022 | 000006597 | ORD_n_199 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | SELL | 200.0 | 03.00 | 03.00 | Rejected |
| 29/07/2022 15:10 | 30/07/2022 | 000006596 | ORD_n_199 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 200.0 | 03.00 | 03.00 | Rejected |

2. Enter the *ISIN*.

Information

Account number ISIN Order reference number Deal type

Result

The search button becomes available.

3. Select *Search*.

Result

| ISIN | Product Type | Share Class Name | ISIN | Deal Type | Unit | Deal Amount | Settlement Amount | Status |
|--------------|--------------|-----------------------------------|--------------|-----------|-----------|-------------|-------------------|--------------------|
| JE00B5TT1872 | GIA | GCP INFRASTRUCTURE INVESTMENTS LD | JE00B5TT1872 | BUY | 15,000.00 | £15,000.00 | £15,000.00 | ContractualSettled |

| Column name | Purpose |
|-------------------------|--------------------------------------------------------------------|
| DEAL DATE | The date on which the deal was completed. |
| SETTLEMENT DATE | The date on which the trade was settled. |
| ORDER REFERENCE NUMBER | A generated order reference number. |
| EXTERNAL DEAL REFERENCE | A deal reference number generated by an external system. |
| ACCOUNT NUMBER | The Investor account connected with the deal. |
| PRODUCT TYPE | The type of investment product associated with the deal: GIA, ISA. |
| SHARE CLASS NAME | The share class name related to the deal. |
| ISIN | The International Securities Identification Number. |
| DEAL TYPE | The deal type: buy/sell. |
| UNIT | The number of units in the deal. |
| DEAL AMOUNT | The deal amount in pounds. |
| SETTLEMENT AMOUNT | The settlement amount in pounds. |
| STATUS | The deal status from allowed statuses. |

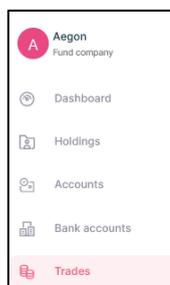
Searching for a trade by order reference number

You can search for a particular trade by the order reference number for that trade.

Search for a trade by order reference number:

1. Select *Trades* from the left-hand side navigation panel.

Information



Result

Trades

Select date: 30/07/2022 - 03/08/2022

Account number: ISIN: Order reference number: Deal type: Search

Accepted Canceled Priced Failed Draft Settled

| DEAL DATE | SETTLEMENT DATE | ORDER REFERENCE NUMBER | EXTERNAL DEAL REFERENCE | ACCOUNT NUMBER | PRODUCT TYPE | SHARE CLASS NAME | ISIN | DEAL TYPE | UNIT | DEAL AMOUNT | SETTLEMENT AMOUNT | STATUS |
|------------------|-----------------|------------------------|-------------------------|-------------------|--------------|-------------------------------------|--------------|-----------|-----------|-------------|-------------------|--------------------|
| 02/08/2022 07:57 | 03/08/2022 | 000006623 | ORD_L_199 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 5,000.0 | €0.00 | €0.00 | Rejected |
| 02/08/2022 07:56 | 03/08/2022 | 000006622 | ORD_L_199 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 500.0 | €0.00 | €500.00 | Failed |
| 02/08/2022 07:37 | 03/08/2022 | 000006621 | ORD_L_199 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 30,000.0 | €0.00 | €30,000.00 | Draft |
| 01/08/2022 07:00 | 02/08/2022 | 000006615 | -- | 440101-0000000674 | GIA | ECOFIN GBL | GB00803V4641 | BUY | 10,149.50 | €18,000.00 | €18,000.00 | ContractualSettled |
| 01/08/2022 06:00 | 02/08/2022 | 000006614 | -- | 440101-0000000674 | GIA | EYRAZ PLC Sns | GB00871N8K86 | BUY | 10,149.5 | €18,000.00 | €18,000.00 | ContractualSettled |
| 01/08/2022 05:00 | 02/08/2022 | 000006602 | -- | 440101-0000000674 | GIA | GO-AHEAD GROUP PLC | GB0003753778 | BUY | 10,149.5 | €18,000.00 | €18,000.00 | ContractualSettled |
| 01/08/2022 05:00 | 02/08/2022 | 000006613 | -- | 440101-0000000674 | GIA | STANDARD LIFE EQUITY INCOME TST PLC | GB0006039607 | SELL | 584.971 | €1,000.00 | €1,000.00 | ContractualSettled |
| 29/07/2022 16:24 | 30/07/2022 | 000006599 | ORD_L_199 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 20,000.0 | €0.00 | €0.00 | Rejected |
| 29/07/2022 15:12 | 30/07/2022 | 000006597 | ORD_L_199 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | SELL | 200.0 | €0.00 | €0.00 | Rejected |
| 29/07/2022 15:10 | 30/07/2022 | 000006596 | ORD_L_199 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 200.0 | €0.00 | €0.00 | Rejected |

1 / 2 / 10 / page

2. Enter the *Order Reference Number*.

Information

Order reference number: 000007270

Deal type: Clear All Search

Result

The search button becomes available.

3. Select *Search*.

Result

| DEAL DATE | SETTLEMENT DATE | ORDER REFERENCE NUMBER | EXTERNAL DEAL REFERENCE | ACCOUNT NUMBER | PRODUCT TYPE | SHARE CLASS NAME | ISIN |
|------------------|-----------------|------------------------|-------------------------|-------------------|--------------|---------------------------|------|
| 15/09/2022 11:00 | 16/09/2022 | 000007270 | -- | 440101-0000000674 | GIA | HIKMA PHARMACEUTICALS PLC | GB |

| Column name | Purpose |
|-------------------------|--------------------------------------------------------------------|
| DEAL DATE | The date on which the deal was completed. |
| SETTLEMENT DATE | The date on which the trade was settled. |
| ORDER REFERENCE NUMBER | A generated order reference number. |
| EXTERNAL DEAL REFERENCE | A deal reference number generated by an external system. |
| ACCOUNT NUMBER | The Investor account connected with the deal. |
| PRODUCT TYPE | The type of investment product associated with the deal: GIA, ISA. |
| SHARE CLASS NAME | The share class name related to the deal. |
| ISIN | The International Securities Identification Number. |
| DEAL TYPE | The deal type: buy/sell. |
| UNIT | The number of units in the deal. |
| DEAL AMOUNT | The deal amount in pounds. |
| SETTLEMENT AMOUNT | The settlement amount in pounds. |
| STATUS | The deal status from allowed statuses. |

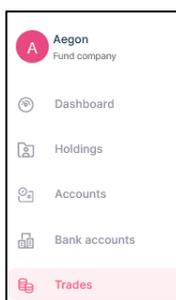
Viewing the deal date for a trade

You can view detailed information about trades. By default, you can see trades for the current day. You can view the deal date for a particular trade by searching for that trade and navigating to the deal date column.

View deal date:

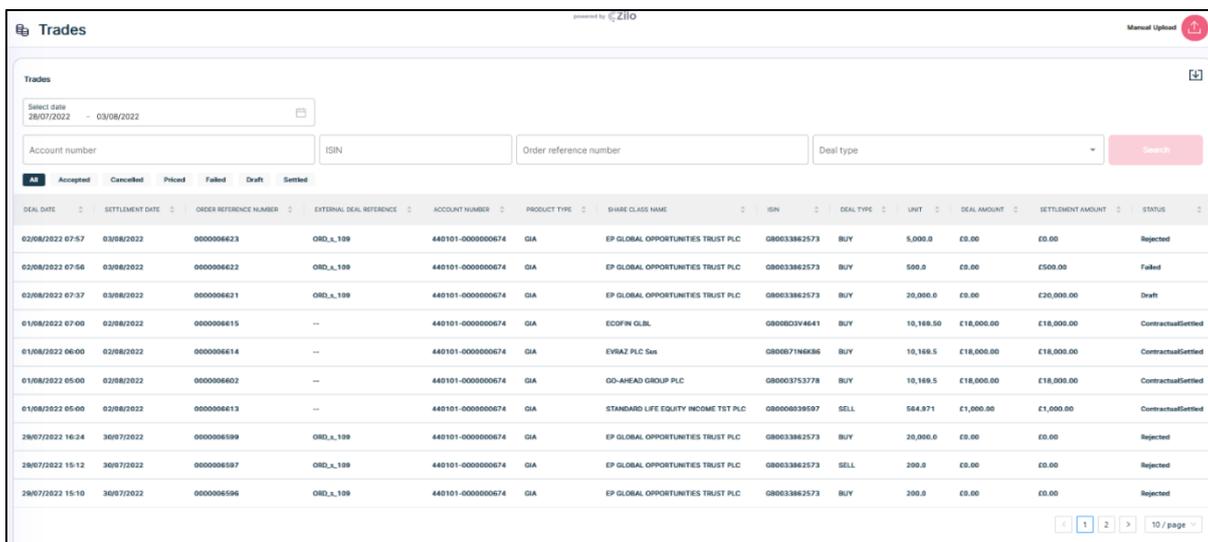
1. Select *Trades* from the left-hand side navigation panel.

Information



Result

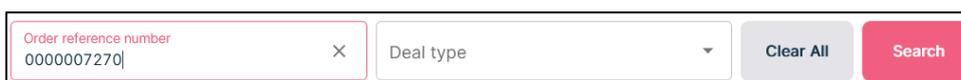
By default, you can see trades for the current day.



| Deal Date | Settlement Date | Order Reference Number | External Deal Reference | Account Number | Product Type | Share Class Name | ISIN | Deal Type | Unit | Deal Amount | Settlement Amount | Status |
|------------------|-----------------|------------------------|-------------------------|-------------------|--------------|-------------------------------------|--------------|-----------|-----------|-------------|-------------------|--------------------|
| 02/08/2022 07:57 | 03/08/2022 | 0000006623 | ORD_n_199 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 5,000.0 | 09.00 | 09.00 | Rejected |
| 02/08/2022 07:58 | 03/08/2022 | 0000006622 | ORD_n_199 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 500.0 | 09.00 | €500.00 | Failed |
| 02/08/2022 07:37 | 03/08/2022 | 0000006621 | ORD_n_199 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 20,000.0 | 09.00 | €20,000.00 | Draft |
| 01/08/2022 07:00 | 02/08/2022 | 0000006615 | -- | 440101-0000000674 | GIA | ECOFIN GLBL | GB00020V4641 | BUY | 10,169.50 | €18,000.00 | €18,000.00 | ContractualSettled |
| 01/08/2022 06:00 | 02/08/2022 | 0000006614 | -- | 440101-0000000674 | GIA | EVIA2 PLC Sns | GB00071N6K06 | BUY | 10,169.5 | €18,000.00 | €18,000.00 | ContractualSettled |
| 01/08/2022 05:00 | 03/08/2022 | 0000006602 | -- | 440101-0000000674 | GIA | GO-AHEAD GROUP PLC | GB0003753778 | BUY | 10,169.5 | €18,000.00 | €18,000.00 | ContractualSettled |
| 01/08/2022 05:00 | 03/08/2022 | 0000006613 | -- | 440101-0000000674 | GIA | STANDARD LIFE EQUITY INCOME TST PLC | GB0000693959 | SELL | 564.971 | €1,000.00 | €1,000.00 | ContractualSettled |
| 29/07/2022 16:24 | 30/07/2022 | 0000006599 | ORD_n_199 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 20,000.0 | 09.00 | 09.00 | Rejected |
| 29/07/2022 15:12 | 30/07/2022 | 0000006597 | ORD_n_199 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | SELL | 200.0 | 09.00 | 09.00 | Rejected |
| 29/07/2022 15:10 | 30/07/2022 | 0000006596 | ORD_n_199 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 200.0 | 09.00 | 09.00 | Rejected |

2. Enter the *Order Reference Number*.

Information



Result

The search button becomes available.

3. Select *Search*.

Result

| DEAL DATE | SETTLEMENT DATE | ORDER REFERENCE NUMBER | EXTERNAL DEAL REFERENCE | ACCOUNT NUMBER | PRODUCT TYPE | SHARE CLASS NAME | ISIN |
|------------------|-----------------|------------------------|-------------------------|------------------|--------------|---------------------------|------|
| 15/09/2022 11:00 | 16/09/2022 | 0000007270 | -- | 440101-000000674 | GIA | HIKMA PHARMACEUTICALS PLC | GB |

You can see the deal date in the first column.

| Column name | Purpose |
|-------------------------|--------------------------------------------------------------------|
| DEAL DATE | The date on which the deal was completed. |
| SETTLEMENT DATE | The date on which the trade was settled. |
| ORDER REFERENCE NUMBER | A generated order reference number. |
| EXTERNAL DEAL REFERENCE | A deal reference number generated by an external system. |
| ACCOUNT NUMBER | The Investor account connected with the deal. |
| PRODUCT TYPE | The type of investment product associated with the deal: GIA, ISA. |
| SHARE CLASS NAME | The share class name related to the deal. |
| ISIN | The International Securities Identification Number. |
| DEAL TYPE | The deal type: buy/sell. |
| UNIT | The number of units in the deal. |
| DEAL AMOUNT | The deal amount in pounds. |
| SETTLEMENT AMOUNT | The settlement amount in pounds. |
| STATUS | The deal status from allowed statuses. |

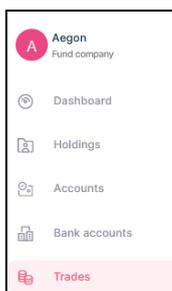
Viewing the number of units in a trade

You can view detailed information about trades. By default, you can see trades for the current day. You can view the number of units in a particular deal.

View units:

1. Select *Trades* from the left-hand side navigation panel.

Information



Result

| Deal Date | Settlement Date | Order Reference Number | External Deal Reference | Account Number | Product Type | Share Class Name | ISIN | Deal Type | Unit | Deal Amount | Settlement Amount | Status |
|------------------|-----------------|------------------------|-------------------------|-------------------|--------------|--------------------------------------|--------------|-----------|-----------|-------------|-------------------|--------------------|
| 02/08/2022 07:57 | 03/08/2022 | 000006623 | ORD_L_199 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 5,000.0 | €0.00 | €0.00 | Rejected |
| 02/08/2022 07:56 | 03/08/2022 | 000006622 | ORD_L_199 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 500.0 | €0.00 | €500.00 | Failed |
| 02/08/2022 07:37 | 03/08/2022 | 000006621 | ORD_L_199 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 20,000.0 | €0.00 | €20,000.00 | Draft |
| 01/08/2022 07:00 | 02/08/2022 | 000006615 | -- | 440101-0000000674 | GIA | ECOFIN CLBL | GB0000346441 | BUY | 10,169.50 | €18,000.00 | €18,000.00 | ContractualSettled |
| 01/08/2022 06:00 | 02/08/2022 | 000006614 | -- | 440101-0000000674 | GIA | EVRAZ PLC Sus | GB00071N6886 | BUY | 10,169.5 | €18,000.00 | €18,000.00 | ContractualSettled |
| 01/08/2022 05:00 | 02/08/2022 | 000006602 | -- | 440101-0000000674 | GIA | OO-AHEAD GROUP PLC | GB0003753778 | BUY | 10,169.5 | €18,000.00 | €18,000.00 | ContractualSettled |
| 01/08/2022 05:00 | 02/08/2022 | 000006613 | -- | 440101-0000000674 | GIA | STANDARD LIFE EQUITY INCOME TEST PLC | GB0006939597 | SELL | 564.971 | €1,000.00 | €1,000.00 | ContractualSettled |
| 29/07/2022 16:24 | 30/07/2022 | 000006599 | ORD_L_199 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 20,000.0 | €0.00 | €0.00 | Rejected |
| 29/07/2022 15:12 | 30/07/2022 | 000006597 | ORD_L_199 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | SELL | 200.0 | €0.00 | €0.00 | Rejected |
| 29/07/2022 15:10 | 30/07/2022 | 000006596 | ORD_L_199 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 200.0 | €0.00 | €0.00 | Rejected |

2. Enter the *ISIN*.

Information

Account number ISIN Order reference number Deal type

Result

The search button becomes available.

3. Select *Search*.

Result

| UNIT NUMBER | PRODUCT TYPE | SHARE CLASS NAME | ISIN | DEAL TYPE | UNIT | DEAL AMOUNT | SETTLEMENT AMOUNT | STATUS |
|--------------|--------------|-------------------------------|--------------|-------------|----------|-------------|-------------------|--------------------|
| 1-0000000674 | GIA | CIVITAS SOCIAL HOUSING change | GR00BDZZT388 | BUY | 16,000.0 | £16,000.00 | £16,000.00 | ContractualSettled |
| 1-0000000674 | GIA | CIVITAS SOCIAL HOUSING change | GR00BDZZT388 | SELL | 2,500.0 | £2,500.00 | £2,500.00 | ContractualSettled |
| 1-0000000674 | GIA | CIVITAS SOCIAL HOUSING change | GR00BDZZT388 | BUY | 15,000.0 | £15,000.00 | £15,000.00 | ContractualSettled |
| 1-0000000674 | GIA | CIVITAS SOCIAL HOUSING change | GR00BDZZT388 | BUY | 2,000.0 | £2,000.00 | £2,000.00 | Priced |
| 1-0000000674 | GIA | CIVITAS SOCIAL HOUSING change | GR00BDZZT388 | SELL | 600.0 | £600.00 | £600.00 | ContractualSettled |
| 1-0000000674 | GIA | CIVITAS SOCIAL HOUSING change | GR00BDZZT388 | TRANSFEROUT | 5,000.0 | £8,890.00 | £8,890.00 | Settled |

4. Navigate to the *Unit* column for the required deal.

Result

You can see the number of units in that deal.

| Column name | Purpose |
|-------------------------|--------------------------------------------------------------------|
| DEAL DATE | The date on which the deal was completed. |
| SETTLEMENT DATE | The date on which the trade was settled. |
| ORDER REFERENCE NUMBER | A generated order reference number. |
| EXTERNAL DEAL REFERENCE | A deal reference number generated by an external system. |
| ACCOUNT NUMBER | The Investor account connected with the deal. |
| PRODUCT TYPE | The type of investment product associated with the deal: GIA, ISA. |
| SHARE CLASS NAME | The share class name related to the deal. |
| ISIN | The International Securities Identification Number. |
| DEAL TYPE | The deal type: buy/sell. |
| UNIT | The number of units in the deal. |
| DEAL AMOUNT | The deal amount in pounds. |
| SETTLEMENT AMOUNT | The settlement amount in pounds. |
| STATUS | The deal status from allowed statuses. |

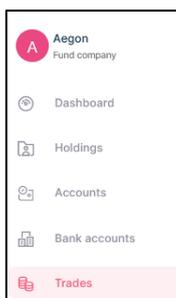
Viewing the share class in a trade

You can view detailed information about trades. By default, you can see trades for the current day. You can view the share class in a deal.

View share class:

1. Select *Trades* from the left-hand side navigation panel.

Information



Result

| Deal Date | Settlement Date | Order Reference Number | External Deal Reference | Account Number | Product Type | Share Class Name | ISIN | Deal Type | Unit | Deal Amount | Settlement Amount | Status |
|------------------|-----------------|------------------------|-------------------------|-------------------|--------------|-------------------------------------|--------------|-----------|-----------|-------------|-------------------|--------------------|
| 02/08/2022 07:57 | 03/08/2022 | 0000006623 | ORD_L_109 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 5,000.0 | €0.00 | €0.00 | Rejected |
| 02/08/2022 07:56 | 03/08/2022 | 0000006622 | ORD_L_109 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 500.0 | €0.00 | €500.00 | Failed |
| 02/08/2022 07:37 | 03/08/2022 | 0000006621 | ORD_L_109 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 20,000.0 | €0.00 | €20,000.00 | Draft |
| 01/08/2022 07:00 | 02/08/2022 | 0000006615 | -- | 440101-0000000674 | GIA | ECOFIN GBL | GB00020V4641 | BUY | 10,169.50 | €18,000.00 | €18,000.00 | ContractualSettled |
| 01/08/2022 06:00 | 02/08/2022 | 0000006614 | -- | 440101-0000000674 | GIA | EYRAZ PLC Sec | GB00071N6K86 | BUY | 10,169.5 | €18,000.00 | €18,000.00 | ContractualSettled |
| 01/08/2022 05:00 | 02/08/2022 | 0000006602 | -- | 440101-0000000674 | GIA | GO-AHEAD GROUP PLC | GB0003753778 | BUY | 10,169.5 | €18,000.00 | €18,000.00 | ContractualSettled |
| 01/08/2022 05:00 | 02/08/2022 | 0000006613 | -- | 440101-0000000674 | GIA | STANDARD LIFE EQUITY INCOME TST PLC | GB0000839507 | SELL | 584.871 | €1,000.00 | €1,000.00 | ContractualSettled |
| 29/07/2022 16:24 | 30/07/2022 | 0000006599 | ORD_L_109 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 20,000.0 | €0.00 | €0.00 | Rejected |
| 29/07/2022 15:12 | 30/07/2022 | 0000006597 | ORD_L_109 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | SELL | 200.0 | €0.00 | €0.00 | Rejected |
| 29/07/2022 15:10 | 30/07/2022 | 0000006596 | ORD_L_109 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 200.0 | €0.00 | €0.00 | Rejected |

2. Enter the *Account Number*.

Information

Account number: 440101-0000000674 | ISIN | Order reference number | Deal type | Clear All | Search

Result

The search button becomes available.

3. Select *Search*.

Result

| Deal Date | Settlement Date | Order Reference Number | External Deal Reference | Account Number | Product Type | Share Class Name |
|------------------|-----------------|------------------------|-------------------------|-------------------|--------------|-------------------------------------|
| 06/10/2022 08:58 | 06/10/2022 | 0000007646 | -- | 440101-0000000674 | GIA | STANDARD LIFE EQUITY INCOME TST PLC |

4. Navigate to the Share *Class name* column for the required deal.

Result

You can see the share class name.

| Column name | Purpose |
|-------------------------|--------------------------------------------------------------------|
| DEAL DATE | The date on which the deal was completed. |
| SETTLEMENT DATE | The date on which the trade was settled. |
| ORDER REFERENCE NUMBER | A generated order reference number. |
| EXTERNAL DEAL REFERENCE | A deal reference number generated by an external system. |
| ACCOUNT NUMBER | The Investor account connected with the deal. |
| PRODUCT TYPE | The type of investment product associated with the deal: GIA, ISA. |
| SHARE CLASS NAME | The share class name related to the deal. |
| ISIN | The International Securities Identification Number. |
| DEAL TYPE | The deal type: buy/sell. |
| UNIT | The number of units in the deal. |
| DEAL AMOUNT | The deal amount in pounds. |
| SETTLEMENT AMOUNT | The settlement amount in pounds. |
| STATUS | The deal status from allowed statuses. |

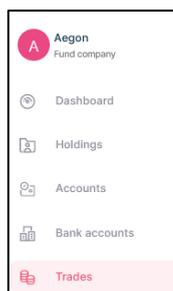
Viewing the account number associated with a trade

You can view detailed information about trades. By default, you can see trades for the current day. You can view the account associated with a deal.

View the account:

1. Select *Trades* from the left-hand side navigation panel.

Information



Result

| DEAL DATE | SETTLEMENT DATE | ORDER REFERENCE NUMBER | EXTERNAL DEAL REFERENCE | ACCOUNT NUMBER | PRODUCT TYPE | SHARE CLASS NAME | ISIN | DEAL TYPE | UNIT | DEAL AMOUNT | SETTLEMENT AMOUNT | STATUS |
|------------------|-----------------|------------------------|-------------------------|-------------------|--------------|-------------------------------------|--------------|-----------|-----------|-------------|-------------------|--------------------|
| 02/08/2022 07:57 | 03/08/2022 | 0000006623 | ORD_L_109 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 5,000.0 | €0.00 | €0.00 | Rejected |
| 02/08/2022 07:56 | 03/08/2022 | 0000006622 | ORD_L_109 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 500.0 | €0.00 | €500.00 | Failed |
| 02/08/2022 07:37 | 03/08/2022 | 0000006621 | ORD_L_109 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 20,000.0 | €0.00 | €20,000.00 | Draft |
| 01/08/2022 07:00 | 02/08/2022 | 0000006615 | -- | 440101-0000000674 | GIA | ECOFIN CLBL | GB0000346641 | BUY | 10,169.50 | €18,000.00 | €18,000.00 | ContractualSettled |
| 01/08/2022 06:00 | 02/08/2022 | 0000006614 | -- | 440101-0000000674 | GIA | EVRAZ PLC Sus | GB0007106886 | BUY | 10,169.5 | €18,000.00 | €18,000.00 | ContractualSettled |
| 01/08/2022 05:00 | 02/08/2022 | 0000006602 | -- | 440101-0000000674 | GIA | OO-AHEAD GROUP PLC | GB0003753778 | BUY | 10,169.5 | €18,000.00 | €18,000.00 | ContractualSettled |
| 01/08/2022 05:00 | 02/08/2022 | 0000006613 | -- | 440101-0000000674 | GIA | STANDARD LIFE EQUITY INCOME TST PLC | GB0000939597 | SELL | 564.971 | €1,000.00 | €1,000.00 | ContractualSettled |
| 29/07/2022 16:24 | 30/07/2022 | 0000006599 | ORD_L_109 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 20,000.0 | €0.00 | €0.00 | Rejected |
| 29/07/2022 15:12 | 30/07/2022 | 0000006597 | ORD_L_109 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | SELL | 200.0 | €0.00 | €0.00 | Rejected |
| 29/07/2022 15:10 | 30/07/2022 | 0000006596 | ORD_L_109 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 200.0 | €0.00 | €0.00 | Rejected |

2. Enter the *Account Number*.

Information

Account number: 440101-0000000674 X

ISIN: Order reference number: Deal type: Clear All Search

Result

The search button becomes available.

3. Select *Search*.

Result

| DEAL DATE | SETTLEMENT DATE | ORDER REFERENCE NUMBER | EXTERNAL DEAL REFERENCE | ACCOUNT NUMBER | PRODUCT TYPE | SHARE CLASS NAME |
|------------------|-----------------|------------------------|-------------------------|-------------------|--------------|-------------------------------------|
| 06/10/2022 08:58 | 06/10/2022 | 0000007646 | -- | 440101-0000000674 | GIA | STANDARD LIFE EQUITY INCOME TST PLC |

4. Navigate to the *Account number* column for the required deal.

Result

You can see the account number.

| Column name | Purpose |
|-------------------------|--------------------------------------------------------------------|
| DEAL DATE | The date on which the deal was completed. |
| SETTLEMENT DATE | The date on which the trade was settled. |
| ORDER REFERENCE NUMBER | A generated order reference number. |
| EXTERNAL DEAL REFERENCE | A deal reference number generated by an external system. |
| ACCOUNT NUMBER | The Investor account connected with the deal. |
| PRODUCT TYPE | The type of investment product associated with the deal: GIA, ISA. |
| SHARE CLASS NAME | The share class name related to the deal. |
| ISIN | The International Securities Identification Number. |
| DEAL TYPE | The deal type: buy/sell. |
| UNIT | The number of units in the deal. |
| DEAL AMOUNT | The deal amount in pounds. |
| SETTLEMENT AMOUNT | The settlement amount in pounds. |
| STATUS | The deal status from allowed statuses. |

Viewing the product type associated with a trade

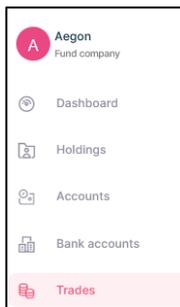
You can view detailed information about trades. By default, you can see trades for the current day.

You can view the product type associated with a deal.

View product type:

1. Select *Trades* from the left-hand side navigation panel.

Information



Result

The screenshot shows the 'Trades' interface with a search bar and a table of trades. The table has the following columns: DEAL DATE, SETTLEMENT DATE, ORDER REFERENCE NUMBER, EXTERNAL DEAL REFERENCE, ACCOUNT NUMBER, PRODUCT TYPE, SHARE CLASS NAME, ISIN, DEAL TYPE, UNIT, DEAL AMOUNT, SETTLEMENT AMOUNT, and STATUS. The table contains 12 rows of trade data.

| DEAL DATE | SETTLEMENT DATE | ORDER REFERENCE NUMBER | EXTERNAL DEAL REFERENCE | ACCOUNT NUMBER | PRODUCT TYPE | SHARE CLASS NAME | ISIN | DEAL TYPE | UNIT | DEAL AMOUNT | SETTLEMENT AMOUNT | STATUS |
|------------------|-----------------|------------------------|-------------------------|-------------------|--------------|--------------------------------------|--------------|-----------|-----------|-------------|-------------------|--------------------|
| 02/08/2022 07:57 | 03/08/2022 | 0000006623 | ORD_L_109 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 5,000.0 | €0.00 | €0.00 | Rejected |
| 02/08/2022 07:56 | 03/08/2022 | 0000006622 | ORD_L_109 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 500.0 | €0.00 | €500.00 | Failed |
| 02/08/2022 07:37 | 03/08/2022 | 0000006621 | ORD_L_109 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 20,000.0 | €0.00 | €20,000.00 | Draft |
| 01/08/2022 07:00 | 02/08/2022 | 0000006615 | -- | 440101-0000000674 | GIA | ECOFIN OJBL | GB0080204641 | BUY | 10,149.50 | €18,000.00 | €18,000.00 | ContractualSettled |
| 01/08/2022 06:00 | 02/08/2022 | 0000006614 | -- | 440101-0000000674 | GIA | EVRAZ PLC Six | GB00871N8K86 | BUY | 10,149.5 | €18,000.00 | €18,000.00 | ContractualSettled |
| 01/08/2022 05:00 | 02/08/2022 | 0000006602 | -- | 440101-0000000674 | GIA | GO-AHEAD GROUP PLC | GB0003753778 | BUY | 10,149.5 | €18,000.00 | €18,000.00 | ContractualSettled |
| 01/08/2022 05:00 | 02/08/2022 | 0000006613 | -- | 440101-0000000674 | GIA | STANDARD LIFE EQUITY INCOME TEST PLC | GB0006039597 | SELL | 564.971 | €1,000.00 | €1,000.00 | ContractualSettled |
| 29/07/2022 16:24 | 30/07/2022 | 0000006599 | ORD_L_109 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 20,000.0 | €0.00 | €0.00 | Rejected |
| 29/07/2022 15:12 | 30/07/2022 | 0000006597 | ORD_L_109 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | SELL | 200.0 | €0.00 | €0.00 | Rejected |
| 29/07/2022 15:10 | 30/07/2022 | 0000006596 | ORD_L_109 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 200.0 | €0.00 | €0.00 | Rejected |

2. Select a date range using the pop-out calendar.
3. Enter the *Account Number*.

Information

The screenshot shows the search bar with the following fields: Account number (440101-0000000674), ISIN, Order reference number, Deal type (dropdown), Clear All, and Search.

Result

The search button becomes available.

4. Select *Search*.

Result

| DEAL DATE | SETTLEMENT DATE | ORDER REFERENCE NUMBER | EXTERNAL DEAL REFERENCE | ACCOUNT NUMBER | PRODUCT TYPE | SHARE CLASS NAME |
|------------------|-----------------|------------------------|-------------------------|-------------------|--------------|-------------------------------------|
| 06/10/2022 08:58 | 06/10/2022 | 0000007646 | -- | 440101-0000000674 | GIA | STANDARD LIFE EQUITY INCOME TST PLC |

5. Navigate to the *Product type* column for the required deal.

Result

You can see the product type associated with a deal.

| Column name | Purpose |
|-------------------------|--------------------------------------------------------------------|
| DEAL DATE | The date on which the deal was completed. |
| SETTLEMENT DATE | The date on which the trade was settled. |
| ORDER REFERENCE NUMBER | A generated order reference number. |
| EXTERNAL DEAL REFERENCE | A deal reference number generated by an external system. |
| ACCOUNT NUMBER | The investor account connected with the deal. |
| PRODUCT TYPE | The type of investment product associated with the deal: GIA, ISA. |
| SHARE CLASS NAME | The share class name related to the deal. |
| ISIN | The International Securities Identification Number. |
| DEAL TYPE | The deal type: buy/sell. |
| UNIT | The number of units in the deal. |
| DEAL AMOUNT | The deal amount in pounds. |
| SETTLEMENT AMOUNT | The settlement amount in pounds. |
| STATUS | The deal status from allowed statuses. |

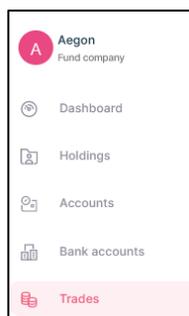
Viewing the deal type associated with a trade by account number

You can view detailed information about trades. By default, you can see trades for the current day. You can view the deal type associated with a deal by account number.

View deal type:

1. Select *Trades* from the left-hand side navigation panel.

Information



Result

Trades

Select date: 28/07/2022 - 03/08/2022

Account number: 440101-0000000674 | ISIN: | Order reference number: | Deal type: Search

| Deal Date | Settlement Date | Order Reference Number | External Deal Reference | Account Number | Product Type | Share Class Name | ISIN | Deal Type | Unit | Deal Amount | Settlement Amount | Status |
|------------------|-----------------|------------------------|-------------------------|-------------------|--------------|-------------------------------------|--------------|-----------|-----------|-------------|-------------------|--------------------|
| 02/08/2022 07:57 | 03/08/2022 | 000006623 | ORD_L_109 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 5,000.0 | €0.00 | €0.00 | Rejected |
| 02/08/2022 07:56 | 03/08/2022 | 000006622 | ORD_L_109 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 500.0 | €0.00 | €500.00 | Failed |
| 02/08/2022 07:37 | 03/08/2022 | 000006621 | ORD_L_109 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 20,000.0 | €0.00 | €20,000.00 | Draft |
| 01/08/2022 07:00 | 02/08/2022 | 000006615 | -- | 440101-0000000674 | GIA | ECOFIN OJLB | GB00020V4641 | BUY | 10,169.50 | €18,000.00 | €18,000.00 | ContractualSettled |
| 01/08/2022 06:00 | 02/08/2022 | 000006614 | -- | 440101-0000000674 | GIA | EYRAZ PLC Sns | GB00071N6K06 | BUY | 10,169.5 | €18,000.00 | €18,000.00 | ContractualSettled |
| 01/08/2022 05:00 | 02/08/2022 | 000006602 | -- | 440101-0000000674 | GIA | GO-AHEAD GROUP PLC | GB0003753778 | BUY | 10,169.5 | €18,000.00 | €18,000.00 | ContractualSettled |
| 01/08/2022 05:00 | 02/08/2022 | 000006613 | -- | 440101-0000000674 | GIA | STANDARD LIFE EQUITY INCOME TST PLC | GB0006039597 | SELL | 564.971 | €1,000.00 | €1,000.00 | ContractualSettled |
| 28/07/2022 16:24 | 30/07/2022 | 000006599 | ORD_L_109 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 20,000.0 | €0.00 | €0.00 | Rejected |
| 28/07/2022 15:12 | 30/07/2022 | 000006597 | ORD_L_109 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | SELL | 200.0 | €0.00 | €0.00 | Rejected |
| 28/07/2022 15:10 | 30/07/2022 | 000006596 | ORD_L_109 | 440101-0000000674 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 200.0 | €0.00 | €0.00 | Rejected |

2. Select a date range using the pop-out calendar.
3. Enter the *Account Number*.

Information

Account number: 440101-0000000674 | ISIN: | Order reference number: | Deal type: Clear All Search

Result

The search button becomes available.

4. Select *Search*.

Result

| DEAL DATE | SETTLEMENT DATE | ORDER REFERENCE NUMBER | EXTERNAL DEAL REFERENCE | ACCOUNT NUMBER | PRODUCT TYPE | SHARE CLASS NAME |
|------------------|-----------------|------------------------|-------------------------|-------------------|--------------|-------------------------------------|
| 06/10/2022 08:58 | 06/10/2022 | 0000007646 | -- | 440101-0000000674 | GIA | STANDARD LIFE EQUITY INCOME TST PLC |

5. Navigate to the Deal type column for the required deal.

Result

You can see the deal type associated with a deal.

| Column name | Purpose |
|-------------------------|--------------------------------------------------------------------|
| DEAL DATE | The date on which the deal was completed. |
| SETTLEMENT DATE | The date on which the trade was settled. |
| ORDER REFERENCE NUMBER | A generated order reference number. |
| EXTERNAL DEAL REFERENCE | A deal reference number generated by an external system. |
| ACCOUNT NUMBER | The Investor connected with the deal. |
| PRODUCT TYPE | The type of investment product associated with the deal: GIA, ISA. |
| SHARE CLASS NAME | The share class name related to the deal. |
| ISIN | The International Securities Identification Number. |
| DEAL TYPE | The deal type: buy/sell. |
| UNIT | The number of units in the deal. |
| DEAL AMOUNT | The deal amount in pounds. |
| SETTLEMENT AMOUNT | The settlement amount in pounds. |
| STATUS | The deal status from allowed statuses. |

Filtering trades by the deal type

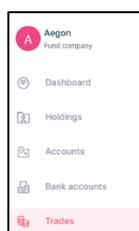
You can view detailed information about trades. By default, you can see trades for the current day. You can filter the deals by deal type.

| Deal type |
|-------------------------|
| BUY |
| SELL |
| SWITCHOUT |
| SWITCHIN |
| TRANSFEROUT |
| TRANSFERIN |
| REINVESTBUY |
| CONVERSIONIN |
| CONVERSIONOUT |
| MERGEIN |
| MERGEOUT |
| CANCELBUY |
| CANCELSELL |
| CANCELTRANSFEROUT |
| CANCELTRANSFERIN |
| CANCELCONVERSIONIN |
| CANCELCONVERSIONOUT |
| CORRECTIVEBUY |
| CORRECTIVESELL |
| CORRECTIVECONVERSIONIN |
| CORRECTIVECONVERSIONOUT |
| REBOOKBUY |
| REBOOKSELL |
| REBOOKCONVERSIONIN |
| REBOOKCONVERSIONOUT |
| REBOOKSWITCHOUT |
| REBOOKSWITCHIN |

Filtering by deal type:

1. Select *Trades* from the left-hand side navigation panel.

Information



Result

The screenshot shows a 'Trades' interface with a search bar and a table of trade records. The table has the following columns: DEAL DATE, SETTLEMENT DATE, ORDER REFERENCE NUMBER, EXTERNAL DEAL REFERENCE, ACCOUNT NUMBER, PRODUCT TYPE, SHARE CLASS NAME, ISIN, DEAL TYPE, UNIT, DEAL AMOUNT, SETTLEMENT AMOUNT, and STATUS. The table contains 14 rows of trade data with various statuses such as Rejected, Failed, Draft, and ContractsSettled.

2. Select the deal type from the drop-down menu.

Information

Result

You can see the deal type associated with a deal.

| Column name | Purpose |
|-------------------------|--------------------------------------------------------------------|
| DEAL DATE | The date on which the deal was completed. |
| SETTLEMENT DATE | The date on which the trade was settled. |
| ORDER REFERENCE NUMBER | A generated order reference number. |
| EXTERNAL DEAL REFERENCE | A deal reference number generated by an external system. |
| ACCOUNT NUMBER | The Investor account connected with the deal. |
| PRODUCT TYPE | The type of investment product associated with the deal: GIA/ ISA. |
| SHARE CLASS NAME | The share class name related to the deal. |
| ISIN | The International Securities Identification Number. |
| DEAL TYPE | The deal type: buy/sell. |
| UNIT | The number of units in the deal. |
| DEAL AMOUNT | The deal amount in pounds. |
| SETTLEMENT AMOUNT | The settlement amount in pounds. |
| STATUS | The deal status from allowed statuses. |

Manually uploading a trade

Deals should be completed over SFTP using a service such as Calastone. However, if an automated deal cannot be completed, contact Aegon using a secure message for a manual deal template. You can then submit a deal manually.

If you are unable to select manual upload it is because either:

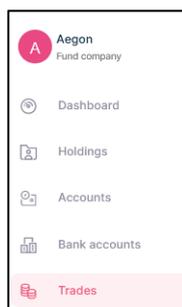
- You do not have sufficient user rights (Dealer or Super user) to upload a trade manually, or
- Aegon has not enabled a trade to be manually uploaded. In this case, send Aegon a secure message and request permission.

You can manually upload a trade using the approved deal template available here. The file must be in csv format.

Manually upload a trade:

1. Select *Trades* from the left-hand side navigation panel.

Information



Result

Trades

Select date: 28/07/2022 - 03/08/2022

Account number: ISIN: Order reference number: Deal type: Search

Accepted Cancelled Priced Failed Draft Sumbat

| Deal Date | Settlement Date | Order Reference Number | External Deal Reference | Account Number | Product Type | Share Class Name | ISIN | Deal Type | Unit | Deal Amount | Settlement Amount | Status |
|------------------|-----------------|------------------------|-------------------------|-------------------|--------------|-------------------------------------|--------------|-----------|-----------|-------------|-------------------|--------------------|
| 02/08/2022 07:57 | 03/08/2022 | 0000006623 | ORD_L_109 | 440101-0000000074 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 5,000.0 | €0.00 | €0.00 | Rejected |
| 02/08/2022 07:56 | 03/08/2022 | 0000006622 | ORD_L_109 | 440101-0000000074 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 500.0 | €0.00 | €500.00 | Failed |
| 02/08/2022 07:37 | 03/08/2022 | 0000006621 | ORD_L_109 | 440101-0000000074 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 20,000.0 | €0.00 | €20,000.00 | Draft |
| 01/08/2022 07:00 | 02/08/2022 | 0000006615 | -- | 440101-0000000074 | GIA | ECOFIN GLBL | GB000204641 | BUY | 10,169.50 | €18,000.00 | €18,000.00 | ContractualSettled |
| 01/08/2022 06:00 | 02/08/2022 | 0000006614 | -- | 440101-0000000074 | GIA | EVRAZ PLC Sus | GB0007186886 | BUY | 10,169.5 | €18,000.00 | €18,000.00 | ContractualSettled |
| 01/08/2022 05:00 | 02/08/2022 | 0000006602 | -- | 440101-0000000074 | GIA | GO-AHEAD GROUP PLC | GB0003753778 | BUY | 10,169.5 | €18,000.00 | €18,000.00 | ContractualSettled |
| 01/08/2022 05:00 | 02/08/2022 | 0000006613 | -- | 440101-0000000074 | GIA | STANDARD LIFE EQUITY INCOME TST PLC | GB0006839597 | SELL | 564.971 | €1,000.00 | €1,000.00 | ContractualSettled |
| 29/07/2022 16:24 | 30/07/2022 | 0000006599 | ORD_L_109 | 440101-0000000074 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 20,000.0 | €0.00 | €0.00 | Rejected |
| 29/07/2022 15:12 | 30/07/2022 | 0000006597 | ORD_L_109 | 440101-0000000074 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | SELL | 200.0 | €0.00 | €0.00 | Rejected |
| 29/07/2022 15:10 | 30/07/2022 | 0000006596 | ORD_L_109 | 440101-0000000074 | GIA | EP GLOBAL OPPORTUNITES TRUST PLC | GB0033862573 | BUY | 200.0 | €0.00 | €0.00 | Rejected |

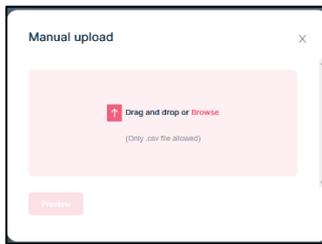
1 / page

2. Select *Manual Upload*.

Information



Result



3. Drag and drop the file.

Information

The file must be CSV format.

Result

The *Preview* button becomes available.

4. Select *Preview*.

Note

You must carefully check the details of the proposed trade.

5. Select *Submit*.

Result

The trade is submitted to the trade queue.

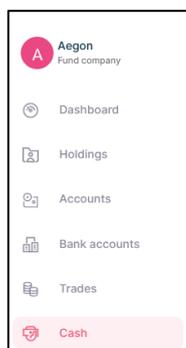
Viewing cash positions

You can view information about cash positions.

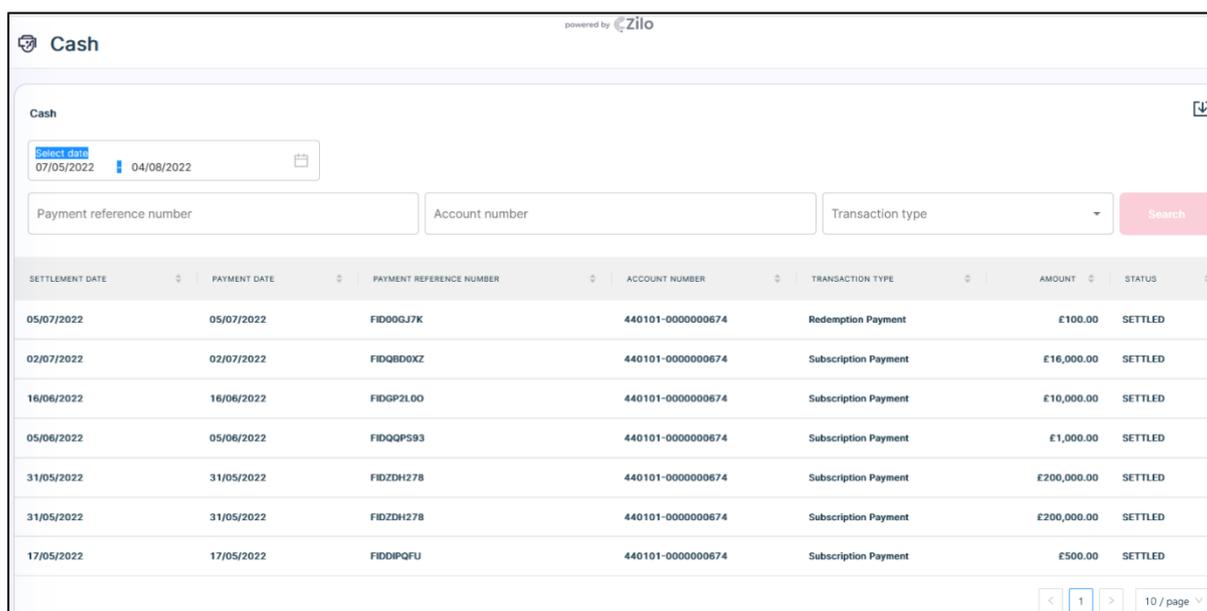
View cash positions:

1. Select *Cash* from the left-hand side navigation panel.

Information



Result



| SETTLEMENT DATE | PAYMENT DATE | PAYMENT REFERENCE NUMBER | ACCOUNT NUMBER | TRANSACTION TYPE | AMOUNT | STATUS |
|-----------------|--------------|--------------------------|-------------------|----------------------|-------------|---------|
| 05/07/2022 | 05/07/2022 | FID00GJ7K | 440101-0000000674 | Redemption Payment | £100.00 | SETTLED |
| 02/07/2022 | 02/07/2022 | FIDQBD0XZ | 440101-0000000674 | Subscription Payment | £16,000.00 | SETTLED |
| 16/06/2022 | 16/06/2022 | FIDGP2L00 | 440101-0000000674 | Subscription Payment | £10,000.00 | SETTLED |
| 05/06/2022 | 05/06/2022 | FIDQQP593 | 440101-0000000674 | Subscription Payment | £1,000.00 | SETTLED |
| 31/05/2022 | 31/05/2022 | FIDZDH278 | 440101-0000000674 | Subscription Payment | £200,000.00 | SETTLED |
| 31/05/2022 | 31/05/2022 | FIDZDH278 | 440101-0000000674 | Subscription Payment | £200,000.00 | SETTLED |
| 17/05/2022 | 17/05/2022 | FIDDPQFU | 440101-0000000674 | Subscription Payment | £500.00 | SETTLED |

| Column name | Purpose |
|--------------------------|------------------------------------------------------|
| SETTLEMENT DATE | The date on which the trade was settled. |
| PAYMENT DATE | The date on which the payment was made. |
| PAYMENT REFERENCE NUMBER | A generated unique payment reference number. |
| ACCOUNT NUMBER | The bank account number associated with the payment. |
| TRANSACTION TYPE | The transaction type: subscription/redemption. |
| AMOUNT | The amount of the payment in pounds. |
| STATUS | The payment status. |

Viewing a cash position by date

You can view information cash positions based on date.

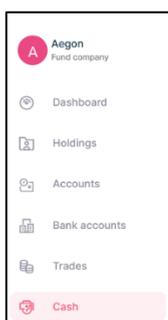
You can select:

- A date range
- Today
- Previous day
- Last 7 days
- Last 30 days
- Last 90 days

View cash positions by date:

1. Select *Cash* from the left-hand side navigation panel.

Information



Result

The screenshot shows the 'Cash' page with a search bar and a table of transactions. The table has columns for Settlement Date, Payment Date, Payment Reference Number, Account Number, Transaction Type, Amount, and Status. The transactions are as follows:

| SETTLEMENT DATE | PAYMENT DATE | PAYMENT REFERENCE NUMBER | ACCOUNT NUMBER | TRANSACTION TYPE | AMOUNT | STATUS |
|-----------------|--------------|--------------------------|-------------------|----------------------|-------------|---------|
| 05/07/2022 | 05/07/2022 | FID00GJ7K | 440101-0000000674 | Redemption Payment | £100.00 | SETTLED |
| 02/07/2022 | 02/07/2022 | FIDQBDOXZ | 440101-0000000674 | Subscription Payment | £16,000.00 | SETTLED |
| 16/06/2022 | 16/06/2022 | FIDGP2L00 | 440101-0000000674 | Subscription Payment | £10,000.00 | SETTLED |
| 05/06/2022 | 05/06/2022 | FIDQQPS93 | 440101-0000000674 | Subscription Payment | £1,000.00 | SETTLED |
| 31/05/2022 | 31/05/2022 | FIDZDH278 | 440101-0000000674 | Subscription Payment | £200,000.00 | SETTLED |
| 31/05/2022 | 31/05/2022 | FIDZDH278 | 440101-0000000674 | Subscription Payment | £200,000.00 | SETTLED |
| 17/05/2022 | 17/05/2022 | FIDDPQFU | 440101-0000000674 | Subscription Payment | £500.00 | SETTLED |

2. Select *Select date*.

Information

Select date
01/07/2021 - 05/10/2022

Result

Cash powered by Zilo

Cash

Select date
07/05/2022 - 04/08/2022

Payment reference number Account number Transaction type Search

| SETTLEMENT DATE | PAYMENT DATE | PAYMENT REFERENCE NUMBER | ACCOUNT NUMBER | TRANSACTION TYPE | AMOUNT | STATUS |
|-----------------|--------------|--------------------------|-------------------|----------------------|-------------|---------|
| 05/07/2022 | 05/07/2022 | FID00GJ7K | 440101-0000000674 | Redemption Payment | £100.00 | SETTLED |
| 02/07/2022 | 02/07/2022 | FIDQB0XZ | 440101-0000000674 | Subscription Payment | £16,000.00 | SETTLED |
| 16/06/2022 | 16/06/2022 | FIDGP2L00 | 440101-0000000674 | Subscription Payment | £10,000.00 | SETTLED |
| 05/06/2022 | 05/06/2022 | FIDQQPS93 | 440101-0000000674 | Subscription Payment | £1,000.00 | SETTLED |
| 31/05/2022 | 31/05/2022 | FIDZDH278 | 440101-0000000674 | Subscription Payment | £200,000.00 | SETTLED |
| 31/05/2022 | 31/05/2022 | FIDZDH278 | 440101-0000000674 | Subscription Payment | £200,000.00 | SETTLED |
| 17/05/2022 | 17/05/2022 | FIDIPQFU | 440101-0000000674 | Subscription Payment | £500.00 | SETTLED |

< 1 > 10 / page

| Column name | Purpose |
|--------------------------|------------------------------------------------------|
| SETTLEMENT DATE | The date on which the trade was settled. |
| PAYMENT DATE | The date on which the payment was made. |
| PAYMENT REFERENCE NUMBER | A generated unique payment reference number. |
| ACCOUNT NUMBER | The bank account number associated with the payment. |
| TRANSACTION TYPE | The transaction type: subscription/redemption. |
| AMOUNT | The amount of the payment in pounds. |
| STATUS | The payment status. |

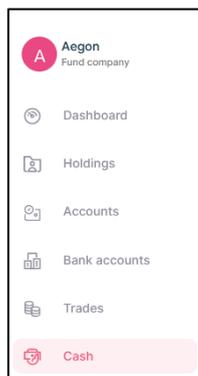
Viewing a cash position by payment reference number

You can view a particular cash position by the payment reference number.

View cash positions by payment reference number:

1. Select *Cash* from the left-hand side navigation panel.

Information



Result

Cash

Select date: 07/05/2022 to 04/08/2022

Payment reference number: Account number: Transaction type: Search

| SETTLEMENT DATE | PAYMENT DATE | PAYMENT REFERENCE NUMBER | ACCOUNT NUMBER | TRANSACTION TYPE | AMOUNT | STATUS |
|-----------------|--------------|--------------------------|------------------|----------------------|-------------|---------|
| 05/07/2022 | 05/07/2022 | FID00GJ7K | 440101-000000674 | Redemption Payment | £100.00 | SETTLED |
| 02/07/2022 | 02/07/2022 | FIDQBD0XZ | 440101-000000674 | Subscription Payment | £16,000.00 | SETTLED |
| 16/06/2022 | 16/06/2022 | FIDGP2L00 | 440101-000000674 | Subscription Payment | £10,000.00 | SETTLED |
| 05/06/2022 | 05/06/2022 | FIDQQPS93 | 440101-000000674 | Subscription Payment | £1,000.00 | SETTLED |
| 31/05/2022 | 31/05/2022 | FIDZDH278 | 440101-000000674 | Subscription Payment | £200,000.00 | SETTLED |
| 31/05/2022 | 31/05/2022 | FIDZDH278 | 440101-000000674 | Subscription Payment | £200,000.00 | SETTLED |
| 17/05/2022 | 17/05/2022 | FIDDIPQFU | 440101-000000674 | Subscription Payment | £500.00 | SETTLED |

1 / 10 / page

2. Select a date range.
3. Enter the *Payment reference number*.

Information

Payment reference number: FIDQQPS93 Account number: Transaction type: Clear All Search

Result

The search button becomes available.

4. Select *Search*.

Result

| SETTLEMENT DATE | PAYMENT DATE | PAYMENT REFERENCE NUMBER | ACCOUNT NUMBER | TRANSACTION TYPE | AMOUNT | STATUS |
|-----------------|--------------|--------------------------|------------------|----------------------|-----------|---------|
| 05/06/2022 | 05/06/2022 | FIDQQPS93 | 440101-000000674 | Subscription Payment | £1,000.00 | SETTLED |

| Column name | Purpose |
|--------------------------|------------------------------------------------------|
| SETTLEMENT DATE | The date on which the trade was settled. |
| PAYMENT DATE | The date on which the payment was made. |
| PAYMENT REFERENCE NUMBER | A generated unique payment reference number. |
| ACCOUNT NUMBER | The bank account number associated with the payment. |
| TRANSACTION TYPE | The transaction type: subscription/redemption. |
| AMOUNT | The amount of the payment in pounds. |
| STATUS | The payment status. |

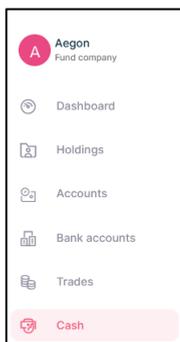
Viewing a cash position by account number

You can view a particular cash position by account number.

View cash positions by account number:

1. Select *Cash* from the left-hand side navigation panel.

Information



Result

The screenshot shows the 'Cash' page with a search bar and a table of transactions. The search bar has 'Payment reference number', 'Account number', and 'Transaction type' fields. The table has columns for Settlement Date, Payment Date, Payment Reference Number, Account Number, Transaction Type, Amount, and Status. The table contains 8 rows of data.

| SETTLEMENT DATE | PAYMENT DATE | PAYMENT REFERENCE NUMBER | ACCOUNT NUMBER | TRANSACTION TYPE | AMOUNT | STATUS |
|-----------------|--------------|--------------------------|-------------------|----------------------|-------------|---------|
| 05/07/2022 | 05/07/2022 | FID00GJ7K | 440101-0000000674 | Redemption Payment | £100.00 | SETTLED |
| 02/07/2022 | 02/07/2022 | FIDQBDXZ | 440101-0000000674 | Subscription Payment | £16,000.00 | SETTLED |
| 16/06/2022 | 16/06/2022 | FIDGP2L00 | 440101-0000000674 | Subscription Payment | £10,000.00 | SETTLED |
| 05/06/2022 | 05/06/2022 | FIDQQPS93 | 440101-0000000674 | Subscription Payment | £1,000.00 | SETTLED |
| 31/05/2022 | 31/05/2022 | FIDZDH278 | 440101-0000000674 | Subscription Payment | £200,000.00 | SETTLED |
| 31/05/2022 | 31/05/2022 | FIDZDH278 | 440101-0000000674 | Subscription Payment | £200,000.00 | SETTLED |
| 17/05/2022 | 17/05/2022 | FIDDIPQFU | 440101-0000000674 | Subscription Payment | £500.00 | SETTLED |

2. Enter the *Account number*.

Information

The search bar now has the account number '440101-0000000674' entered in the 'Account number' field. The 'Search' button is now visible and highlighted in red.

Result

The search button becomes available.

3. Select *Search*.

Result

| SETTLEMENT DATE | PAYMENT DATE | PAYMENT REFERENCE NUMBER | ACCOUNT NUMBER | TRANSACTION TYPE | AMOUNT | STATUS |
|-----------------|--------------|--------------------------|------------------|----------------------|-----------|---------|
| 05/06/2022 | 05/06/2022 | FIDQQPS93 | 440101-000000674 | Subscription Payment | £1,000.00 | SETTLED |

| Column name | Purpose |
|--------------------------|-----------------------------------------------------|
| SETTLEMENT DATE | The date on which the trade was settled. |
| PAYMENT DATE | The date on which the payment was made. |
| PAYMENT REFERENCE NUMBER | A generated unique payment reference number. |
| ACCOUNT NUMBER | The bank account number associated with the payment |
| TRANSACTION TYPE | The transaction type: subscription/redemption. |
| AMOUNT | The amount of the payment in pounds. |
| STATUS | The payment status. |

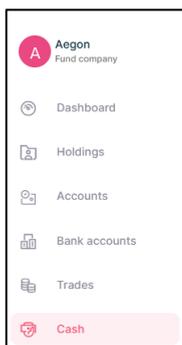
Viewing a cash position by transaction type

You can view a particular cash position by transaction type. There are two transaction types: subscription and redemption. Redemption is used as an example.

View cash positions by transaction type:

1. Select *Cash* from the left-hand side navigation panel.

Information



Result

Cash powered by Zilo

Select date: 07/05/2022 to 04/08/2022

Payment reference number: Account number: Transaction type: Search

| SETTLEMENT DATE | PAYMENT DATE | PAYMENT REFERENCE NUMBER | ACCOUNT NUMBER | TRANSACTION TYPE | AMOUNT | STATUS |
|-----------------|--------------|--------------------------|-------------------|----------------------|-------------|---------|
| 05/07/2022 | 05/07/2022 | FID00GJ7K | 440101-0000000674 | Redemption Payment | £100.00 | SETTLED |
| 02/07/2022 | 02/07/2022 | FIDQB0XZ | 440101-0000000674 | Subscription Payment | £16,000.00 | SETTLED |
| 16/06/2022 | 16/06/2022 | FIDGP2L00 | 440101-0000000674 | Subscription Payment | £10,000.00 | SETTLED |
| 05/06/2022 | 05/06/2022 | FIDQQP593 | 440101-0000000674 | Subscription Payment | £1,000.00 | SETTLED |
| 31/05/2022 | 31/05/2022 | FIDZDH278 | 440101-0000000674 | Subscription Payment | £200,000.00 | SETTLED |
| 31/05/2022 | 31/05/2022 | FIDZDH278 | 440101-0000000674 | Subscription Payment | £200,000.00 | SETTLED |
| 17/05/2022 | 17/05/2022 | FIDIPQFU | 440101-0000000674 | Subscription Payment | £500.00 | SETTLED |

1 / 10 page

2. Select *Transaction type*.

Information



Result

The search button becomes available.

3. Select *Search*.

Result

| SETTLEMENT DATE | PAYMENT DATE | PAYMENT REFERENCE NUMBER | ACCOUNT NUMBER | TRANSACTION TYPE | AMOUNT | STATUS |
|-----------------|--------------|--------------------------|-------------------|--------------------|---------|---------|
| 05/07/2022 | 05/07/2022 | FID00GJ7K | 440101-0000000674 | Redemption Payment | £100.00 | SETTLED |
| 24/08/2022 | 24/08/2022 | FIDESLZJA | 440101-0000000674 | Redemption Payment | £600.00 | SETTLED |

| Column name | Purpose |
|--------------------------|------------------------------------------------------|
| SETTLEMENT DATE | The date on which the trade was settled. |
| PAYMENT DATE | The date on which the payment was made. |
| PAYMENT REFERENCE NUMBER | A generated unique payment reference number. |
| ACCOUNT NUMBER | The bank account number associated with the payment. |
| TRANSACTION TYPE | The transaction type: subscription/redemption. |
| AMOUNT | The amount of the payment in pounds. |
| STATUS | The payment status. |

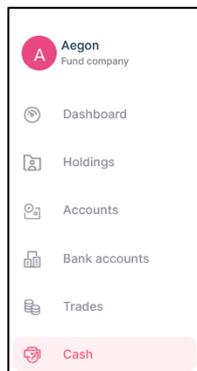
Viewing a payment date associated with a cash position

You can view a payment date associated with a cash position.

View cash positions by payment date:

1. Select *Cash* from the left-hand side navigation panel.

Information



Result

Cash powered by Zilo

Select date: 07/05/2022 | 04/08/2022

Payment reference number | Account number | Transaction type | Search

| SETTLEMENT DATE | PAYMENT DATE | PAYMENT REFERENCE NUMBER | ACCOUNT NUMBER | TRANSACTION TYPE | AMOUNT | STATUS |
|-----------------|--------------|--------------------------|-------------------|----------------------|-------------|---------|
| 05/07/2022 | 05/07/2022 | FID0GJ7K | 440101-0000000674 | Redemption Payment | £100.00 | SETTLED |
| 02/07/2022 | 02/07/2022 | FIDQB0XZ | 440101-0000000674 | Subscription Payment | £16,000.00 | SETTLED |
| 16/06/2022 | 16/06/2022 | FIDGP2L00 | 440101-0000000674 | Subscription Payment | £10,000.00 | SETTLED |
| 05/06/2022 | 05/06/2022 | FIDQPS93 | 440101-0000000674 | Subscription Payment | £1,000.00 | SETTLED |
| 31/05/2022 | 31/05/2022 | FIDZH278 | 440101-0000000674 | Subscription Payment | £200,000.00 | SETTLED |
| 31/05/2022 | 31/05/2022 | FIDZH278 | 440101-0000000674 | Subscription Payment | £200,000.00 | SETTLED |
| 17/05/2022 | 17/05/2022 | FIDIPQFU | 440101-0000000674 | Subscription Payment | £500.00 | SETTLED |

< 1 > 10 / page

2. Enter the Account number.

Information

Payment reference number | Account number: 440101-0000000674 | Transaction type | Clear All | Search

Result

The search button becomes available.

3. Select *Search*.

Result

| SETTLEMENT DATE | PAYMENT DATE | PAYMENT REFERENCE NUMBER | ACCOUNT NUMBER | TRANSACTION TYPE | AMOUNT | STATUS |
|-----------------|--------------|--------------------------|------------------|----------------------|-----------|---------|
| 05/06/2022 | 05/06/2022 | FIDQQPS93 | 440101-000000674 | Subscription Payment | £1,000.00 | SETTLED |

4. Navigate to the *Payment date* column.

| Column name | Purpose |
|--------------------------|------------------------------------------------------|
| SETTLEMENT DATE | The date on which the trade was settled. |
| PAYMENT DATE | The date on which the payment was made. |
| PAYMENT REFERENCE NUMBER | A generated unique payment reference number. |
| ACCOUNT NUMBER | The bank account number associated with the payment. |
| TRANSACTION TYPE | The transaction type: subscription/redemption. |
| AMOUNT | The amount of the payment in pounds. |
| STATUS | The payment status. |

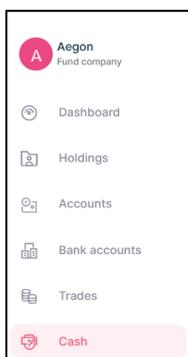
Viewing a settlement date associated with a cash position

You can view a settlement date associated with a cash position.

View cash positions by settlement date:

1. Select *Cash* from the left-hand side navigation panel.

Information



Result

The screenshot shows the 'Cash' page with a search filter for '04/08/2022'. Below the search bar is a table of transactions. The table has columns for Settlement Date, Payment Date, Payment Reference Number, Account Number, Transaction Type, Amount, and Status. The transactions are as follows:

| SETTLEMENT DATE | PAYMENT DATE | PAYMENT REFERENCE NUMBER | ACCOUNT NUMBER | TRANSACTION TYPE | AMOUNT | STATUS |
|-----------------|--------------|--------------------------|-------------------|----------------------|-------------|---------|
| 05/07/2022 | 05/07/2022 | FID0GJ7K | 440101-0000000674 | Redemption Payment | £100.00 | SETTLED |
| 02/07/2022 | 02/07/2022 | FIDQBD0XZ | 440101-0000000674 | Subscription Payment | £16,000.00 | SETTLED |
| 16/06/2022 | 16/06/2022 | FIDGP2L00 | 440101-0000000674 | Subscription Payment | £10,000.00 | SETTLED |
| 05/06/2022 | 05/06/2022 | FIDQPS93 | 440101-0000000674 | Subscription Payment | £1,000.00 | SETTLED |
| 31/05/2022 | 31/05/2022 | FIDZDH278 | 440101-0000000674 | Subscription Payment | £200,000.00 | SETTLED |
| 31/05/2022 | 31/05/2022 | FIDZDH278 | 440101-0000000674 | Subscription Payment | £200,000.00 | SETTLED |
| 17/05/2022 | 17/05/2022 | FIDIPQFU | 440101-0000000674 | Subscription Payment | £500.00 | SETTLED |

2. Enter the *Account number*.

Information

The screenshot shows the search filters with the 'Account number' field populated with '440101-0000000674'. The 'Search' button is now visible and active.

Result

The search button becomes available.

3. Select *Search*.

Result

| SETTLEMENT DATE | PAYMENT DATE | PAYMENT REFERENCE NUMBER | ACCOUNT NUMBER | TRANSACTION TYPE | AMOUNT | STATUS |
|-----------------|--------------|--------------------------|------------------|----------------------|-----------|---------|
| 05/06/2022 | 05/06/2022 | FIDQQPS93 | 440101-000000674 | Subscription Payment | £1,000.00 | SETTLED |

4. Navigate to the *Settlement date* column.

| Column name | Purpose |
|--------------------------|------------------------------------------------------|
| SETTLEMENT DATE | The date on which the trade was settled. |
| PAYMENT DATE | The date on which the payment was made. |
| PAYMENT REFERENCE NUMBER | A generated unique payment reference number. |
| ACCOUNT NUMBER | The bank account number associated with the payment. |
| TRANSACTION TYPE | The transaction type: subscription/redemption. |
| AMOUNT | The amount of the payment in pounds. |
| STATUS | The payment status. |

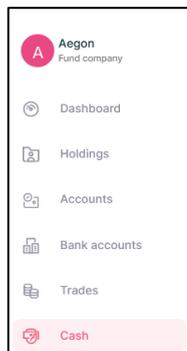
Viewing an account number associated with a cash position

You can view an account number associated with a cash position.

View cash positions by account number:

1. Select *Cash* from the left-hand side navigation panel.

Information



Result

Cash

powered by Zilo

Select date: 07/05/2022 to 04/08/2022

Payment reference number: Account number: Transaction type: Search

| SETTLEMENT DATE | PAYMENT DATE | PAYMENT REFERENCE NUMBER | ACCOUNT NUMBER | TRANSACTION TYPE | AMOUNT | STATUS |
|-----------------|--------------|--------------------------|------------------|----------------------|-------------|---------|
| 05/07/2022 | 05/07/2022 | FID00GJ7K | 440101-000000674 | Redemption Payment | £100.00 | SETTLED |
| 02/07/2022 | 02/07/2022 | FIDQBDXKZ | 440101-000000674 | Subscription Payment | £16,000.00 | SETTLED |
| 16/06/2022 | 16/06/2022 | FIDGP2L00 | 440101-000000674 | Subscription Payment | £10,000.00 | SETTLED |
| 05/06/2022 | 05/06/2022 | FIDQQPS93 | 440101-000000674 | Subscription Payment | £1,000.00 | SETTLED |
| 31/05/2022 | 31/05/2022 | FIDZDH278 | 440101-000000674 | Subscription Payment | £200,000.00 | SETTLED |
| 31/05/2022 | 31/05/2022 | FIDZDH278 | 440101-000000674 | Subscription Payment | £200,000.00 | SETTLED |
| 17/05/2022 | 17/05/2022 | FIDDPQFU | 440101-000000674 | Subscription Payment | £500.00 | SETTLED |

1 / 10 page

2. Enter the *Account number*.

Information

Payment reference number: Account number: 440101-000000674 Transaction type: Clear All Search

Result

The search button becomes available.

3. Select *Search*.

Result

| SETTLEMENT DATE | PAYMENT DATE | PAYMENT REFERENCE NUMBER | ACCOUNT NUMBER | TRANSACTION TYPE | AMOUNT | STATUS |
|-----------------|--------------|--------------------------|------------------|----------------------|-----------|---------|
| 05/06/2022 | 05/06/2022 | FIDQQPS93 | 440101-000000674 | Subscription Payment | £1,000.00 | SETTLED |

| Column name | Purpose |
|--------------------------|------------------------------------------------------|
| SETTLEMENT DATE | The date on which the trade was settled. |
| PAYMENT DATE | The date on which the payment was made. |
| PAYMENT REFERENCE NUMBER | A generated unique payment reference number. |
| ACCOUNT NUMBER | The bank account number associated with the payment. |
| TRANSACTION TYPE | The transaction type: subscription/redemption. |
| AMOUNT | The amount of the payment in pounds. |
| STATUS | The payment status. |

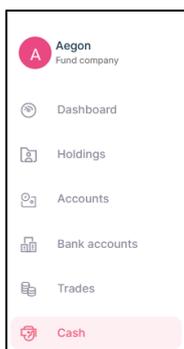
Viewing a payment amount with a cash position

You can view a payment amount associated with a cash position. Payment amounts are in pounds.

View cash positions by payment amount:

1. Select *Cash* from the left-hand side navigation panel.

Information



Result

The screenshot shows the 'Cash' page with a search bar and a table of transactions. The table has columns for Settlement Date, Payment Date, Payment Reference Number, Account Number, Transaction Type, Amount, and Status. The transactions are listed as follows:

| SETTLEMENT DATE | PAYMENT DATE | PAYMENT REFERENCE NUMBER | ACCOUNT NUMBER | TRANSACTION TYPE | AMOUNT | STATUS |
|-----------------|--------------|--------------------------|-------------------|----------------------|-------------|---------|
| 05/07/2022 | 05/07/2022 | FID00GJ7K | 440101-0000000674 | Redemption Payment | £100.00 | SETTLED |
| 02/07/2022 | 02/07/2022 | FIDQB0XZ | 440101-0000000674 | Subscription Payment | £16,000.00 | SETTLED |
| 16/06/2022 | 16/06/2022 | FIDGP2L00 | 440101-0000000674 | Subscription Payment | £10,000.00 | SETTLED |
| 05/06/2022 | 05/06/2022 | FIDQPS93 | 440101-0000000674 | Subscription Payment | £1,000.00 | SETTLED |
| 31/05/2022 | 31/05/2022 | FIDZH278 | 440101-0000000674 | Subscription Payment | £200,000.00 | SETTLED |
| 31/05/2022 | 31/05/2022 | FIDZH278 | 440101-0000000674 | Subscription Payment | £200,000.00 | SETTLED |
| 17/05/2022 | 17/05/2022 | FIDIPQFU | 440101-0000000674 | Subscription Payment | £500.00 | SETTLED |

2. Enter the *Account number*.

Information

The search bar is shown with the 'Account number' field containing the value '440101-0000000674'. The 'Search' button is now visible and highlighted in red.

Result

The search button becomes available.

3. Select *Search*.

Result

| SETTLEMENT DATE | PAYMENT DATE | PAYMENT REFERENCE NUMBER | ACCOUNT NUMBER | TRANSACTION TYPE | AMOUNT | STATUS |
|-----------------|--------------|--------------------------|------------------|----------------------|-----------|---------|
| 05/06/2022 | 05/06/2022 | FIDQQPS93 | 440101-000000674 | Subscription Payment | £1,000.00 | SETTLED |

4. Navigate to the *Amount* column.

| Column name | Purpose |
|--------------------------|------------------------------------------------------|
| SETTLEMENT DATE | The date on which the trade was settled. |
| PAYMENT DATE | The date on which the payment was made. |
| PAYMENT REFERENCE NUMBER | A generated unique payment reference number. |
| ACCOUNT NUMBER | The bank account number associated with the payment. |
| TRANSACTION TYPE | The transaction type: subscription/redemption. |
| AMOUNT | The amount of the payment in pounds. |
| STATUS | The payment status. |

Document history

Document history is recorded in reverse chronological order.

| Creator | Date | Approver | Date | Notes |
|---------|----------|----------|------|-------------------------|
| RG | 05/07/23 | | | First version published |